

## AGENDA

1. **Call to order** Judge Gary Moore, Chair
2. **Pledge of Allegiance** All
3. **Quorum** - The secretary will determine and advise chair as to the presence of quorum.
4. **Approval of the agenda** - Consideration of the agenda (*After approval, deletions are subject to a 2/3 majority vote of those members present.*) **Action requested.**
5. **Approval of the minutes** - Consideration of the minutes from the October meeting. *Attachment 1.*  
**Action requested.**

**Administrative** - *Items related to NKADD finance and administration will be discussed.*

6. **Ethics Report** - Monthly report from NKADD Ethics Officer. Joe Cottingham will report.
7. **Audit Report** - Draft annual audit presented by Barnes Denig. Pending. **Action requested.**
8. **Financial Reports** - Monthly financial reports will be presented for consideration. Tara Johnson-Noem will report. *Attachment 2.* **Action requested.**
9. **Payments** - Request to pay invoices exceeding limit of \$40,000 per Administrative Regulations. Tara Johnson-Noem will report.
  - a. Bramel Ackley *Attachment 3.* **Action requested.**
  - b. GO Bond for 22 Spiral Drive - BNY Mellon *Attachment 4.* **Action requested.**

**Programmatic** - *Items related to NKADD programs will be discussed.*

10. **Meal Waiting List** - Presentation on shortfall related to home delivered meal program. Anne Wildman and Tara Johnson-Noem will present. *Attachment 6.* **Action is requested.**
11. **E-Clearinghouse** - SERA: Southeast Energy Resilience Accelerator, Katie Jo Kirkpatrick will present. *Attachment 7.*

**Committee Reports** - *NKADD standing and ad hoc committees will present updates, if applicable.*

12. **Water Management Council Update** - Katie Jo Berkshire Kirkpatrick will present. *Attachment 8.*  
**Action is requested.**

## Agency Overview

12. **Executive Director's Report** - Tara Johnson-Noem will present. *Attachment 9.*
13. **Announcements**
14. **Adjournment**

**NORTHERN KENTUCKY AREA DEVELOPMENT DISTRICT  
BOARD OF DIRECTORS MEETING MINUTES  
22 Spiral Drive, Florence, Kentucky  
October 23, 2025**

**I. Call to order** – Chair Gary Moore called the meeting to order in the NKADD Conference Rooms located at 22 Spiral Drive, Florence, KY, and Teams at 12:07 p.m.

**II. Pledge of Allegiance** – Chair Gary Moore led the Pledge.

**III. Quorum** – Chair Gary Moore declared a quorum verified by Secretary Amy Scalf.

**Board members present were:**

Mayor Robb Adams	Mayor John Link
Jamie Baker	Mayor Paul Meier
Mark Collier*	Bill Mitchell
Joe Cottingham	Judge Gary Moore
Judge Chuck Dills	Judge Ryan Morris
Matthew Dusing	Bryan Norton*
Judge David Fields	Judge Steven Pendery
Jamie Greene*	Pat Raverty
Mayor Tommy Guidugli Jr.	Mayor Andy Schabell
Mayor Jim Hamberg	Marie Schenkel
Katie James*	James Toebbe*
Brittaney King	Judge David Wilhoite
Judge Kris Knochelmann	Lisa Wilson-Plajer
Matthew Kremer*	Judge Todd Woodyard - v

\*Indicates Alternate in attendance and voting.

**Those members not present or without representation:**

Mayor Terri Courtney	Nick Hendrix
Mayor Charles French	Mayor David Henson
Mayor Erik Haas	Mayor Marty Lenhof
Mayor Leigh Hawkins	Mayor Chris Reinersman
Mayor Sabrina Hazen	George Zubaty
Mayor Jude Hehman	

**Staff Present**

Clay Beyer, Chris Courtney, Correy Eimer, Tara Johnson-Noem, Katie Jo Kirkpatrick, Liberty Kordish, Amy Scalf, Anne Wildman

The Northern Kentucky Area Development District (NKADD) will make every reasonable accommodation to assist qualified persons with disabilities accessing available services or in attending agency activities. If there is a need for NKADD to be aware of a specific requirement, you are encouraged to contact this agency prior to the activity so that suitable arrangements can be considered for the delivery of the service.

- IV. **Approval of the Agenda** - The Board of Directors is asked to approve the agenda (after the agenda is approved, deletions are subject to a 2/3 majority vote of those members present). **Action requested.**  
Mayor Paul Meier moved to approve the agenda, seconded by Mayor John Link. **Motion carried.**
- V. **Approval of the Minutes** – The Board of Directors is asked to approve the minutes from the August 2025 Board Meeting. Attachment 01. **Action requested.**  
Mayor Jim Hamberg moved to approve the minutes; seconded by Mark Collier. **Motion carried.**
- VI. **Administrative** – Items relating to NKADD Administration were discussed at this time.
- A. **Ethics Report** – No Report. **No action requested.**
- B. **Employee Holiday Schedule Request** – Tara Johnson-Noem presented a proposal to switch the 12/24 holiday to 12/26, and only a half-day on 12/24. **Action requested.** Attachment 2.  
Jamie Baker moved to approve the request; seconded by Mayor Tommy Guidugli Jr. **Motion carried.**
- C. **Proposed Board Calendar for 2026** – Tara Johnson-Noem presented a meeting schedule. Attachment 3. **Action requested.**  
Bill Mitchell moved to approve the schedule, seconded by Judge Chuck Dills. **Motion carried.**
- D. **Nominated NKADD Board Member from NKWIB**- Correy Eimer presented a new member to the NKADD Board, Dean McKay, who will be a liaison to the NKWIB. Attachment 4. **Action requested.**  
Mayor Jim Hamberg moved to approve the new member, seconded by Mayor John Link. **Motion carried.**
- E. **Financial Reports** – Tara Johnson-Noem presented cumulative financial reports. See Attachment 05. **Action requested.**  
Judge Kris Knochelmann moved to approve the Financial Reports; seconded by Mark Collier. **Motion carried.**
- VII. **Programmatic** - Items relating to NKADD programs were discussed.
- A. **Workforce Development Incentive Policy** – Correy Eimer presented a proposed local policy for retaining individuals in employment and training programs. Attachment 06. **Action requested.**  
Pat Raverty moved to approve the project; seconded by Mayor Jim Hamberg. **Motion carried.**

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- B. E-Clearinghouse request – Katie Jo Berkshire Kirkpatrick presented a new project at CVG. Attachment 7. **Action requested.**  
Bill Mitchell moved to approve the project; seconded by Jamie Baker. **Motion carried.**
- C. CEDS Update – Katie Jo Berkshire Kirkpatrick presented information about our Comprehensive Economic Development Strategy Strategic Direction and Action Plan to be submitted to US Economic Development Administration. Attachment 8. **Action requested.**  
Lisa Wilson-Plajer moved to approve the plan; seconded by Mayor John Link. **Motion carried.**
- D. Mon Ami License Renewal – Anne Wildman presented a subscription renewal for the Mon Ami CHFS database. Attachment 9. **Action requested.**  
Matt Dusing moved to accept the renewal; seconded by Judge Kris Knochelmann. **Motion carried.**
- E. Waiver Proposal – Anne Wildman presented a plan to use increased reimbursement rates for the Medicaid Waiver program. Attachment 10. **Action requested.**  
Mayor Jim Hamberg moved to approve the plan; seconded by Katie James. **Motion carried.**
- F. Meal Shortfall – Anne Wildman and Tara Johnson-Noem presented information regarding a meal shortfall. Attachment 11. **No action requested.**

**IX. Executive Director's Report**

**X. Announcements and Reminders**

**XI. Adjournment**

At 1:06 Judge David Wilhoite moved to adjourn; seconded by Mayor Tommy Guidugli, Jr. **Motion carried.**

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Judge Gary Moore, Chair

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Mayor Robb Adams, 1<sup>st</sup> Vice Chair



**Consolidated ADD**  
**Statement of Activity**  
**Northern Kentucky Area Development District**  
**For 09/30/2025**

	<u>YTD Actual</u>	<u>Annual Budget</u>	<u>% Used</u>	<u>PYTD Actual</u>	<u>% Inc/Dec</u>
<b><u>Revenues</u></b>					
4100. Federal	1,351,443.66	7,966,014.00	17%	1,070,499.95	26%
4200. State	9,268,035.24	30,321,603.00	31%	4,654,497.28	99%
4300. Local	369,654.00	2,113,875.00	17%	519,647.80	-29%
4400. Cash Match	270,512.72	1,842,386.00	15%	190,866.41	42%
4700. Local Revenue Applied	(2,318.00)	40,275.00	-6%	0.00	0%
4800. Carryover	3,310,536.97	3,610,107.00	92%	3,454,443.90	-4%
4900. Commodities Received	480,678.71	2,800,000.00	17%	270,914.79	77%
Total Revenues	15,048,543.30	48,694,260.00	31%	10,160,870.13	48%
<b><u>Expenses</u></b>					
5100. Personnel	1,392,359.35	6,688,732.00	21%	832,904.29	67%
5300. Professional Services	156,904.42	398,015.00	39%	107,865.56	45%
5400. Travel	34,560.56	146,707.00	24%	16,455.63	110%
5500. Facilities	65,347.53	326,493.00	20%	43,559.42	50%
5700. Operating	358,831.45	843,913.00	43%	142,905.53	151%
5800. Equipment	1,385.13	143,908.00	1%	8,717.50	-84%
5900. Admin	0.00	142,786.00	0%	0.00	0%
6000. Pass-Through Contractual	8,511,529.52	36,243,784.00	23%	5,261,860.59	62%
Total Expenses	10,520,917.96	44,934,338.00	23%	6,414,268.52	64%
<b>Excess Revenue Over (Under) Expenditures</b>	<b>4,527,625.34</b>	<b>3,759,922.00</b>	<b>120%</b>	<b>3,746,601.61</b>	<b>21%</b>



**Consolidated ADD**  
**Statement of Activity**  
**Northern Kentucky Area Development District**  
**For 10/31/2025**

	<u>YTD Actual</u>	<u>Annual Budget</u>	<u>% Used</u>	<u>PYTD Actual</u>	<u>% Inc/Dec</u>
<b><u>Revenues</u></b>					
4100. Federal	1,479,501.66	7,966,014.00	19%	1,070,499.95	38%
4200. State	13,018,582.85	30,321,603.00	43%	4,654,497.28	180%
4300. Local	529,005.56	2,113,875.00	25%	519,647.80	2%
4400. Cash Match	352,222.89	1,842,386.00	19%	190,866.41	85%
4700. Local Revenue Applied	(2,318.00)	40,275.00	-6%	0.00	0%
4800. Carryover	3,310,536.97	3,610,107.00	92%	3,454,443.90	-4%
4900. Commodities Received	525,502.00	2,800,000.00	19%	270,914.79	94%
Total Revenues	19,213,033.93	48,694,260.00	39%	10,160,870.13	89%
<b><u>Expenses</u></b>					
5100. Personnel	2,122,362.04	6,688,732.00	32%	832,904.29	155%
5300. Professional Services	197,960.28	398,015.00	50%	107,865.56	84%
5400. Travel	45,764.25	146,707.00	31%	16,455.63	178%
5500. Facilities	83,329.20	326,493.00	26%	43,559.42	91%
5700. Operating	459,069.23	843,913.00	54%	142,905.53	221%
5800. Equipment	1,385.13	143,908.00	1%	8,717.50	-84%
5900. Admin	0.00	142,786.00	0%	0.00	0%
6000. Pass-Through Contractual	12,515,617.22	36,243,784.00	35%	5,261,860.59	138%
Total Expenses	15,425,487.35	44,934,338.00	34%	6,414,268.52	140%
<b>Excess Revenue Over (Under) Expenditures</b>	<b>3,787,546.58</b>	<b>3,759,922.00</b>	<b>101%</b>	<b>3,746,601.61</b>	<b>1%</b>

**BRAMEL & ACKLEY, P.S.C.**909 WRIGHT'S SUMMIT  
PARKWAY

■ SUITE 320

■ FT WRIGHT, KY 41011

Phone: (859) 341-6700

E-mail: INFO@BRAMELACKLEY.COM

Web: WWW.BRAMELACKLEY.COM

NO KY AREA DEV CONSULTING

22 SPIRAL DRIVE  
FLORENCE, KY 41042ID: 6111  
Invoice: 26438  
Date: 10/23/2025  
Due Date: 11/22/2025

Professional services rendered through October 18, 2025:

Bookkeeping assistance, and progress billing for Compilation Report	\$43,005.00
Assistance with CDO Federal payroll tax issue	\$445.00
Billed Time & Expenses	\$43,450.00
Invoice Total	\$43,450.00
Beginning Balance	\$0.00
Invoices	43,450.00
Receipts	0.00
Adjustments	0.00
Service Charges	0.00
Amount Due	\$43,450.00

<u>10/23/2025</u>	<u>09/30/2025</u>	<u>08/31/2025</u>	<u>07/31/2025</u>	<u>06/30/2025+</u>	<u>Total</u>
43,450.00	0.00	0.00	0.00	0.00	\$43,450.00

Please return this portion with payment.

ID: 6111  
NO KY AREA DEV CONSULTINGInvoice: 26438  
Date: 10/23/2025  
Due Date: 11/22/2025

Amount Due: \$43,450.00

Amount Enclosed: \$\_\_\_\_\_

INVOICES DUE UPON RECEIPT



The Bank of New York Mellon Trust Company, N.A.  
Corporate Trust  
4965 U. S. Highway 42  
Suite 1000

Date: December 10, 2025  
Loan#: 446862  
RE : CITY OF FLORENCE KY GEN OB13 BANK

NKADD  
22 SPIRAL DRIVE  
FLORENCE, KY 41042

Dear Sir/Madam

Please be advised that payment in the amount of \$161,531.25 is due on 01/01/2026 for CITY OF FLORENCE, KENTUCKY GENERAL OBLIGATION BONDS, SERIES 2013 (BANK QUALIFIED). The bondholder payment date is 01/01/2026. The details of the amount due are as follows:

	<i>Amount in Dollars(\$)</i>
Principal	\$140,000.00
Interest	\$21,531.25
Collection Amount	\$161,531.25
Total Cash on Hand	(\$0.00)
<b>Total Amount Due</b>	<b>\$161,531.25</b>

\*Refer to your governing docs for payment date rules\*

In order for us to ensure timely payments to Bondholders, funds must be sent in accordance with the instructions below. Please include your account number(s) on your wire transfer legend.

Payment Instructions:

The Bank of New York Mellon

ABA#: 021000018

Account Details

Type Account No.

IMMS 4468628400

Wire Payments must be received by BNY before 11:00 E.S.T. on 01/01/2026.

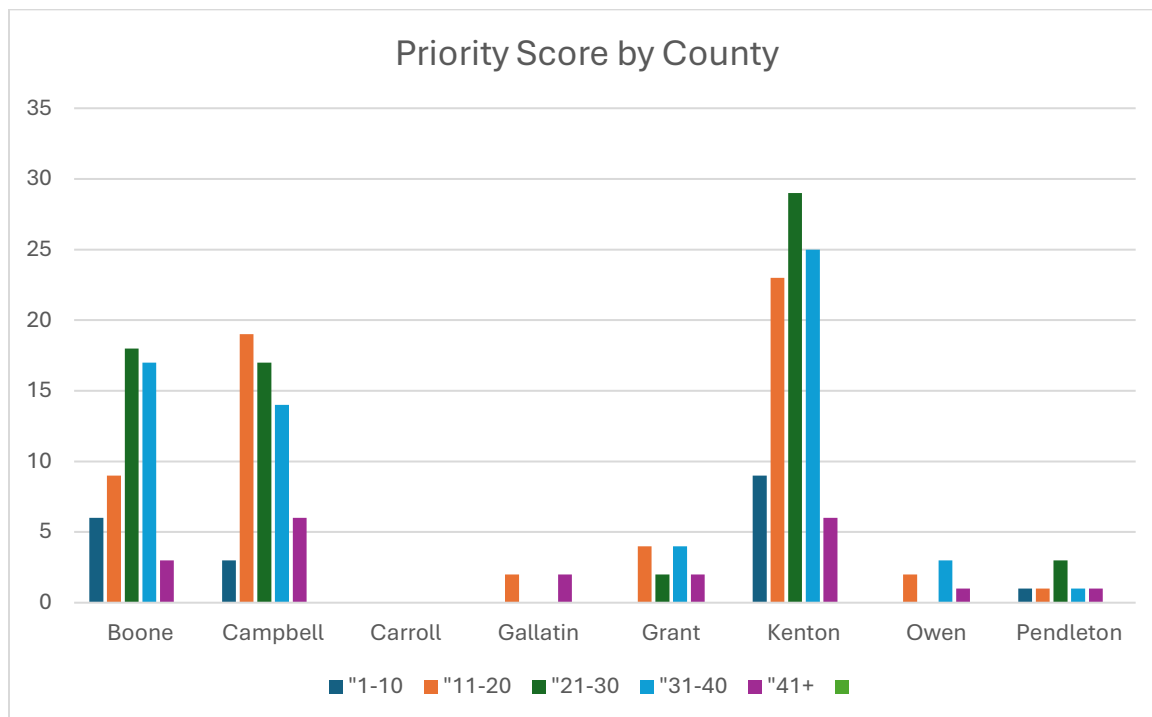
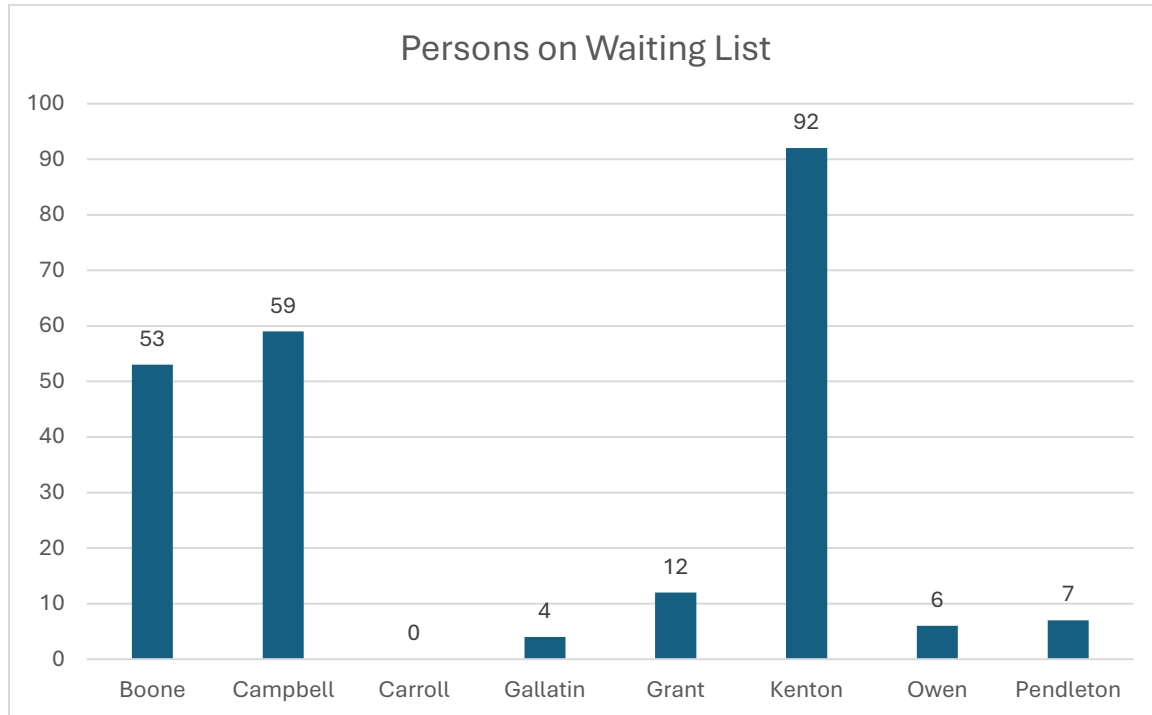
If you are not in agreement with the information detailed on this bill, please contact me at (412)236-5720 or email me at [kerry.s.zombeck@bankofny.com](mailto:kerry.s.zombeck@bankofny.com).

Thank you,

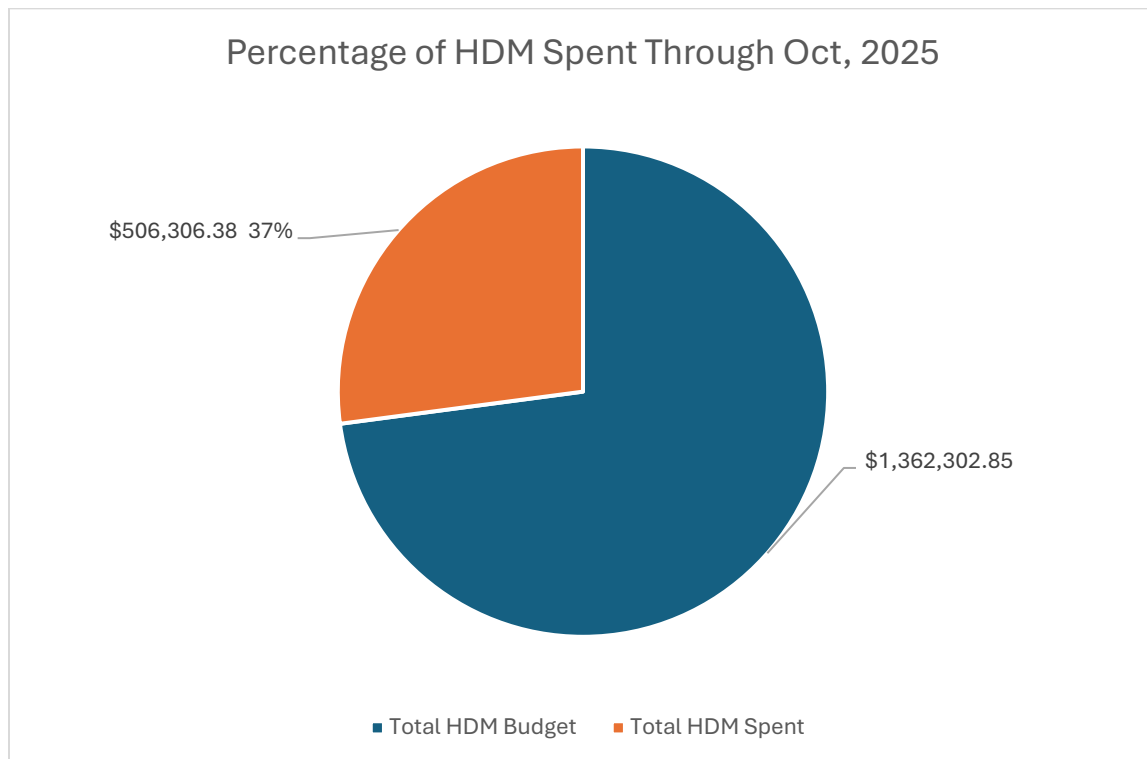
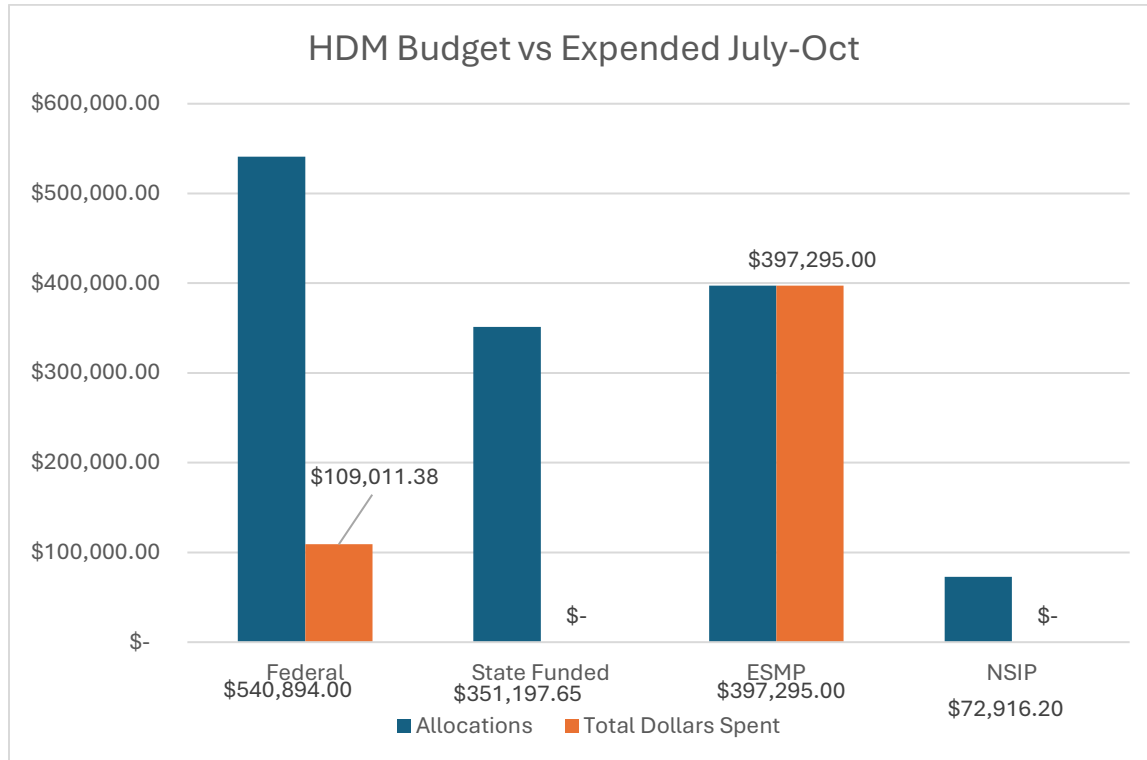
Kerry S Zombeck  
Corporate Trust Department



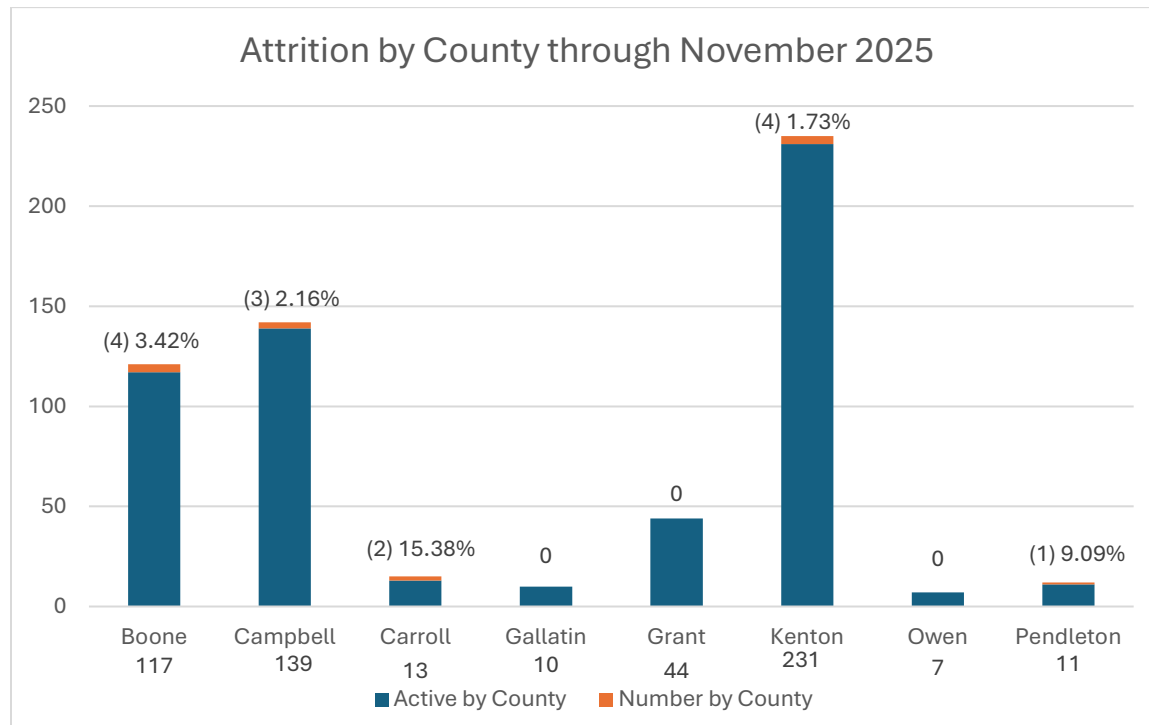
## Waiting List Data Points



## Meal Funding Data Points



### Active and Attrition Data Points



## Kentucky Intergovernmental Review Process (KIRP)/ eClearinghouse

**Project Title:** SERA: Southeast Energy Resilience Accelerator

**SAI No:** KY202510130881

**RE:** Board Review and Action Requested

**Background:** The Intergovernmental Review Process started in 1982 with Presidential Executive Order 12372, which requires federal agencies to provide for consultation with state and local governments that supply non-federal funds for - or that would be directly affected by - proposed federal financial assistance or direct federal development. All Federal program applications in Kentucky are subject to Executive Order 12372 - Intergovernmental Review of Federal Programs (unless the application specifically states not subject to EO 12372), [Pres\\_ExOrder12372.PDF](#) and KRS45.031. [statute.aspx \(ky.gov\)](#)

The eClearinghouse or also known as the state's Single Point of Contact (SPOC) coordinates the State-level review of Federal Grant projects that will take place in Kentucky and assists in identifying potential conflicts or duplication in services for proposed projects impacting the state and region. The eClearinghouse provides an efficient way for Federal Grant applicants to comply with funding agency requirements. It identifies the state agencies that should be involved in the development of federal projects and provides them with the opportunity to review and comment on applications as well as read other agencies' comments. The comments are then compiled into one clearinghouse letter for applicants to submit to the funding agency.

**Contractual Obligation:** The KIRP or Kentucky State Clearinghouse has been designated to provide state and local input to the appropriate federal agency. The Northern Kentucky Area Development District (NKADD) is the designated Regional Review Agency (RRA) for the Kentucky Intergovernmental Review Process (KIRP) through the annual Kentucky Joint Funding Administration (JFA) contract.

**Responsibilities:** As the RRA, the NKADD's responsibilities include:

- coordination of federally assisted projects and programs with local and area plans;
- soliciting input from area-wide and local agencies that are authorized to develop and enforce environmental standards;
- providing agencies charged with enforcing or furthering civil rights laws with the opportunity to participate in the review process and
- to encourage an expeditious process of intergovernmental coordination and review of proposed projects.

**NKADD Internal Review Process:** Following the JFA MOU Scope of Work, the new Community Development Planning team has recently revised the NKADD internal review process to ensure compliance and provide a streamlined comment period. The revised review process will include:

- Providing chief elected officials with the opportunity to review, request additional information or ask questions about the project and its impacts on their communities.

- If applicable, providing special purpose units of local governments with the opportunity to review, request additional information or ask questions about the project and its impacts on their communities.
- Evaluate the responses to determine the significance of the proposed federally assisted programs to area-wide and local plans and programs to develop comments
- If applicable, inform interstate metropolitan planning districts that share common territory with the NKADD of the project. If the interstate body desires to provide input on these proposals, it must utilize the officially designed state Single Point of Contact to forward comments to the Kentucky State Single Point of Contact.
- Present to the NKADD Board of Directors for review and action on the project
- Select the correct endorsement response (Endorse with Comment or Non-Endorse) and submit comments to eClearinghouse. Types of comments include no concerns, information about permit requirements, best management practices, etc.

# SUMMARY SHEET

## INTERGOVERNMENTAL REVIEW PROCESS

10/22/2025

KY202510130881

**DATE RECEIVED**

**PROJECT NUMBER**

SERA: Southeast Energy Resilience Accelerator

**PROJECT NAME**

**LOCAL**

☐

Georgia Tech Research Corporation

**AREAWIDE**

☐

**APPLICANT'S NAME**

**REGIONAL**

☐

EDA - Economic Development Administration

11.307

**STATEWIDE**

☐

**AGENCY TO RECEIVE REQUEST**

**FED. CAT. #**

**INTERAGENCY**

☐

**SUMMARY:**

In response to an Economic Development Administration (EDA) funding opportunity, southeast regional resilient energy leaders are collaborating to organize and operate SERA, the Southeast Energy Resilience Accelerator. The Southeast Hydrogen Energy Alliance (SHEA) and E4 Carolinas (E4C), regional energy trade associations, will collaborate with three key Georgia Tech (GT) interdisciplinary research institutes; the Strategic Energy Institute (GT SEI), the Advanced Technology Development Center (GT ATDC), and the Manufacturing Institute (GTMI). SERA will be funded during its 30 month organization and demonstration with FY 2025 Economic Development Administration (EDA) Disaster Supplemental Implementation Path funds and collaborator in-kind cost share. SERA will be funded thereafter by ongoing sponsors' support, as SERA proves its value during the demonstration period.

SERA will serve and benefit the 544 FEMA 2023-24 disaster-designated counties in the ten Southeast States and the nuclear, hydrogen and similar technologies, which generate or use power via resilient fuel stored at the power generation site and which are conducive to micro-grid support or are mobile.

SERA will build on recent federally-funded hydrogen and advanced nuclear technologies market demand studies, defining the Southeast region's need for these technologies' acceleration. These studies include; "The Economic Impact of the Nuclear Industry in the Southeast United States", funded by the EDA (2021-5) and authored by E4C (2024), and "The Green Hydrogen Value Chain in the Southeast United States", funded by the U.S. Department of Energy (USDOE, 2021-2) and authored by E4C and SHEA (2022). SERA will provide a substantial return on the EDA and USDOE investments in these market demand studies.

SERA's daily operation will be led by executives with decades of accelerator-based, global business development and public-private innovation partnership experience, having served in leadership roles with Plug and Play and R/GA Ventures. GTI SEI will coordinate the delivery of various GT services; in particular by ATDC which will physically host cohorts and provide staff to help recruit and coach cohort companies and provide longer term services and GTMI which will provide access to piloting space and manufacturing expertise through the Advanced Manufacturing Piloting Facility. SHEA's regional inventory has identified 80+ hydrogen interest companies and E4C's regional inventory has identified 100+ advanced nuclear interest companies in addition to 18 utilities with

hydrogen or nuclear interests. A majority of these companies are located in and serve the disaster-impacted counties. SHEA and E4C will engage these companies and utilities, many of which are in disaster designated counties, to define and prioritize the region's energy resilience technology problem statements for accelerator cohort recruitment and identify mentors and demonstration hosts.

PROPOSED FUNDING	FEDERAL	\$4,997,089
	APPLICANT	\$1,250,000
	STATE	\$
	LOCAL	
	PROGRAM INCOME	
	OTHER (Specify)	
	PRINCIPLE FORGIVENESS	
	TOTAL	\$6,247,089

STAFF ASSIGNED: \_\_\_\_\_

STAFF COMMENTS: ☐ POSITIVE ☐ NEGATIVE ☐ OTHER



# KENTUCKY ECLEARINGHOUSE

## Project View

**KY202510130881**

**(Status: Under Review)**

### APPLICANT INFORMATION

Applicant Company: *	Georgia Tech Research Corporation
Applicant Courtesy: *	Dr.
Applicant First Name: *	Scott
Applicant Last Name: *	McWhorter
Applicant Phone: *	(706) 564-5914
Applicant eMail: *	<a href="mailto:cmcwhorter7@gatech.edu">cmcwhorter7@gatech.edu</a>
Applicant Address: *	926 Dalney Street NW
Applicant City: *	Atlanta
Applicant State: *	GA
Applicant Zip: *	30318-6135
Applicant Type: *	University / College
Submitter (You, the person filling out this form)	
Submitter First Name: *	David
Submitter Last Name: *	Doctor
Submitter Phone: *	(704) 661-8131
Submitter eMail: *	



## PROJECT INFORMATION

Project Type: \* [Economic Development](#)

Project Number (WRIS):

Project Title: \* [SERA: Southeast Energy Resilience Accelerator](#)

Construction: \* [Non-Construction](#)

Applicant County: \* [Adair](#)

Multiple Counties: ☒

List Counties: [All](#)

Congressional Districts: \* 1: ☒ 2: ☒ 3: ☒  
4: ☒ 5: ☒ 6: ☒

## CFDA INFORMATION

CFDA Number: \* [11.307](#) CFDA Title: \* [Disaster 25-EDA NOFO - Readiness](#)

Federal Agency: \* [EDA - Economic Development Administration](#)

Start Date: \* [01/01/2026](#)

Is the Applicant delinquent on any Federal debt? \* [No](#)

If yes, explain:

## SITE PHYSICAL ADDRESS

Street Address:

City:

Zip Code:

Latitude:

Longitude:

Project Description: \* A brief summary of the project, including what the funds will be spent on, and a brief scope of work.

Examples of fund use include: construction, salaries, equipment purchase, print materials, etc.

Please type out each acronym fully the first time it appears. Example: Housing and Urban Development (hereafter HUD)

In response to an Economic Development Administration (EDA) funding opportunity, southeast regional resilient energy leaders are collaborating to organize and operate SERA, the Southeast Energy Resilience Accelerator. The Southeast Hydrogen Energy Alliance (SHEA) and E4 Carolinas (E4C), regional energy trade associations, will collaborate with three key Georgia Tech (GT) interdisciplinary research institutes; the Strategic Energy Institute (GT SEI), the Advanced Technology Development Center (GT ATDC), and the Manufacturing Institute (GTMI). SERA will be funded during its 30 month organization and demonstration with FY 2025 Economic Development Administration (EDA) Disaster Supplemental Implementation Path funds and collaborator in-kind cost share. SERA will be funded thereafter by ongoing sponsors' support, as SERA proves its value during the demonstration period.

SERA will serve and benefit the 544 FEMA 2023-24 disaster-designated counties in the ten Southeast States and the nuclear, hydrogen and similar technologies, which generate or use power via resilient fuel stored at the power generation site and which are conducive to micro-grid support or are mobile.

SERA will build on recent federally-funded hydrogen and advanced nuclear technologies market demand studies, defining the Southeast region's need for these technologies' acceleration. These studies include; "The Economic

Impact of the Nuclear Industry in the Southeast United States”, funded by the EDA (2021-5) and authored by E4C (2024), and “The Green Hydrogen Value Chain in the Southeast United States”, funded by the U.S. Department of Energy (USDOE, 2021-2) and authored by E4C and SHEA (2022). SERA will provide a substantial return on the EDA and USDOE investments in these market demand studies.

SERA’s daily operation will be led by executives with decades of accelerator-based, global business development and public-private innovation partnership experience, having served in leadership roles with Plug and Play and R/GA Ventures. GTI SEI will coordinate the delivery of various GT services; in particular by ATDC which will physically host cohorts and provide staff to help recruit and coach cohort companies and provide longer term services and GTMI which will provide access to piloting space and manufacturing expertise through the Advanced Manufacturing Piloting Facility. SHEA’s regional inventory has identified 80+ hydrogen interest companies and E4C’s regional inventory has identified 100+ advanced nuclear interest companies in addition to 18 utilities with hydrogen or nuclear interests. A majority of these companies are located in and serve the disaster-impacted counties. SHEA and E4C will engage these companies and utilities, many of which are in disaster designated counties, to define and prioritize the region’s energy resilience technology problem statements for accelerator cohort recruitment and identify mentors and demonstration hosts.

#### Need For Project: (Be brief)

To solve the region’s identified energy problems, SERA will focus on nuclear, hydrogen and similar technologies, which generate power via resilient fuel stored at the power generation site and which are conducive to micro-grid support or are mobile. These solutions offer the greatest resilience during disasters which interrupt power generation and distribution infrastructure. SERA will globally recruit promising early-to-growth-stage

energy technology companies for an intensive three month pilot identification, and commercial engagement acceleration process and thereafter provide ongoing support of each company attaining sustaining commercialization. SERA will also engage the region's hydrogen, advanced nuclear and utility companies to prepare them for successful cohort mentoring, demonstration project hosting and commercial engagement exploration. SERA will nurture these industry relationships into post-grant sustaining sponsorships.

SERA will focus its resources, effort, and program-facilitated start-up-to-company engagements to make the region's energy infrastructure more disaster-resilient, further diversify the local economies through the development or acceleration of its industries, and generate private and public investment to create new businesses and jobs.

#### ADDITIONAL INFORMATION

##### WASTEWATER INFORMATION

Water Supplier Name:

Describe wastewater disposal:

Package Sewage Treatment Plant

Facility:

Address/GPS:

Existing publicly owned wastewater treatment plant

Plant Name:

On-site septic tank and lateral field

Health Dept. Name:

Health Dept. Permit No.:

Health Dept. Phone:

Health Dept. Address:

##### SOLID WASTE INFORMATION

Landfill Name:

Landfill Permit No.:

Transport Mode:

Transport Company:

**\*\*Only fill out this section if project is construction of housing, running of water or wastewater lines, or purchase of real estate\*\***

#### ESTIMATED FUNDING

Federal:	\$4,997,089.00
Applicant:	\$1,250,000.00
State:	\$0.00
Local:	\$0.00
Program Income:	\$0.00
Other (Specify):	\$0.00
Total:	\$6,247,089.00

#### INFORMATION REQUESTS

**REQUEST:** Please "briefly" share the purpose of this project, and primarily how the funds would be used if the project is awarded. For examples, please see the red print within the direction section of the project. Thank you.  
Cindy Seip,  
Dept. for Local Govt.

**RESPONSE:** Document uploaded, thank you.  
Cindy Seip  
Dept. for Local Govt.

**REQUEST:** Please upload a "viewable" budget for your project as I was unable to view your attachment. Thank you.  
Cindy Seip,  
Dept. for Local Govt.

**RESPONSE:** Cindy - A brief project description and budget describing use of funds in PDF format was uploaded on 10/17/25 @ 5:30 pm ET  
David Doctor  
Southeast Hydrogen  
Energy Alliance

**REQUEST:** Please summarize this project in your own words. The lengthy description would be ideal for the funding source application. The other two documents are not "viewable." Please reload those. Thank you.  
Cindy Seip,  
Dept. for Local Govt.

**RESPONSE:** A PDF containing a brief description of the Southeast Energy Resilience Accelerator project and its budget have been uploaded. I have sent a copy via email, as well, in the event problems continue to be encountered with document readability.

David Doctor  
Southeast Hydrogen  
Energy Alliance

**REQUEST:** Will there be any ground disturbance of hanging of cable to satisfy the needs of this project?

Cindy Seip,  
Dept. for Local Govt.

**RESPONSE:** No. This project may be considered "planning and development". It will involve no construction. Any technology development or equipment operation during the project will occur within establish engineering facilities of the project Co-applicants or the companies and utilities collaborating in the project.

David Doctor  
Southeast Hydrogen  
Energy Alliance

#### DOCUMENTS

<b>SERA Project Summary</b>	Project summary and use of funds	<a href="#">VIEW</a>
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#### COMMENTS

<b>KY Heritage Council</b> Yvonne Sherrick 10/13/2025	<b>Endorse with Comments</b> To receive a review from the KY Heritage Council/State Historical Preservation Office (SHPO) you must follow the instructions located on their website at <a href="https://heritage.ky.gov/compliance/Pages/overview.aspx">https://heritage.ky.gov/compliance/Pages/overview.aspx</a> . There you will find the required documents for the Section 106 Review and Compliance for 36 CFR Part 800. This Section 106 submission process to SHPO will assist applicants and agencies in providing the appropriate level of information to receive comments from SHPO. If you have any questions please contact Yvonne Sherrick, via email at <a href="mailto:yvonne.sherrick@ky.gov">yvonne.sherrick@ky.gov</a> . Please note: If your project is funded through Transportation Alternative (TAP), Transportation Enhancements (TE), Congestion, Mitigation, Air Quality (CMAQ), or Safe Routes to School (SRTS) you will need to send this information to Michael Jones, Historic Preservation Program Administrator with the Kentucky Transportation Cabinet via email to <a href="mailto:MichaelR.Jones2@ky.gov">MichaelR.Jones2@ky.gov</a> or hard copy to Michael Jones, Office of Local Programs, KY Transportation Cabinet, 200 Mero Street Frankfort, KY 40622. Do not send materials directly to SHPO if your project involves funding from these four sources as it will cause delays in the review process. Michael Jones will consult directly with the
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SHPO on projects with these funding sources to complete the Section 106 review.

UPLOAD DOCUMENT

PRINT PAGE

WITHDRAW PROJECT

## Kentucky Intergovernmental Review Process (KIRP)/ eClearinghouse

**Project Title:** Solenoid Valve Stations Project

**SAI No:** KY202510310973

**RE:** Board Review and Action Requested

**Background:** The Intergovernmental Review Process started in 1982 with Presidential Executive Order 12372, which requires federal agencies to provide for consultation with state and local governments that supply non-federal funds for - or that would be directly affected by - proposed federal financial assistance or direct federal development. All Federal program applications in Kentucky are subject to Executive Order 12372 - Intergovernmental Review of Federal Programs (unless the application specifically states not subject to EO 12372), [Pres\\_ExOrder12372.PDF](#) and KRS45.031. [statute.aspx \(ky.gov\)](#)

The eClearinghouse or also known as the state's Single Point of Contact (SPOC) coordinates the State-level review of Federal Grant projects that will take place in Kentucky and assists in identifying potential conflicts or duplication in services for proposed projects impacting the state and region. The eClearinghouse provides an efficient way for Federal Grant applicants to comply with funding agency requirements. It identifies the state agencies that should be involved in the development of federal projects and provides them with the opportunity to review and comment on applications as well as read other agencies' comments. The comments are then compiled into one clearinghouse letter for applicants to submit to the funding agency.

**Contractual Obligation:** The KIRP or Kentucky State Clearinghouse has been designated to provide state and local input to the appropriate federal agency. The Northern Kentucky Area Development District (NKADD) is the designated Regional Review Agency (RRA) for the Kentucky Intergovernmental Review Process (KIRP) through the annual Kentucky Joint Funding Administration (JFA) contract.

**Responsibilities:** As the RRA, the NKADD's responsibilities include:

- coordination of federally assisted projects and programs with local and area plans;
- soliciting input from area-wide and local agencies that are authorized to develop and enforce environmental standards;
- providing agencies charged with enforcing or furthering civil rights laws with the opportunity to participate in the review process and
- to encourage an expeditious process of intergovernmental coordination and review of proposed projects.

**NKADD Internal Review Process:** Following the JFA MOU Scope of Work, the new Community Development Planning team has recently revised the NKADD internal review process to ensure compliance and provide a streamlined comment period. The revised review process will include:

- Providing chief elected officials with the opportunity to review, request additional information or ask questions about the project and its impacts on their communities.



- If applicable, providing special purpose units of local governments with the opportunity to review, request additional information or ask questions about the project and its impacts on their communities.
- Evaluate the responses to determine the significance of the proposed federally assisted programs to area-wide and local plans and programs to develop comments
- If applicable, inform interstate metropolitan planning districts that share common territory with the NKADD of the project. If the interstate body desires to provide input on these proposals, it must utilize the officially designed state Single Point of Contact to forward comments to the Kentucky State Single Point of Contact.
- Present to the NKADD Board of Directors for review and action on the project
- Select the correct endorsement response (Endorse with Comment or Non-Endorse) and submit comments to eClearinghouse. Types of comments include no concerns, information about permit requirements, best management practices, etc.

# SUMMARY SHEET

## INTERGOVERNMENTAL REVIEW PROCESS

11/21/2025

KY202510310973

DATE RECEIVED

PROJECT NUMBER

Solenoid Valve Stations Project

PROJECT NAME

LOCAL

☐

City of Dry Ridge

AREAWIDE

☐

APPLICANT'S NAME

REGIONAL

☐

Other (Specify) : Cleaner Water Program

21.027

STATEWIDE

☐

AGENCY TO RECEIVE REQUEST

FED. CAT. #

INTERAGENCY

☐

**SUMMARY:** This project consists of constructing three (3) solenoid valve stations and telemetry to improve control of the existing water storage tanks. All remaining funds will be used to purchase automatic radio read meters.

PROPOSED FUNDING	FEDERAL	\$
	APPLICANT	\$
	STATE	\$414,437
	LOCAL	
	PROGRAM INCOME	
	OTHER (Specify)	
	PRINCIPLE FORGIVENESS	
	TOTAL	\$414,437

STAFF ASSIGNED:

STAFF COMMENTS:

☐ POSITIVE

☐ NEGATIVE

☐ OTHER



# KENTUCKY ECLEARINGHOUSE

## Project View

**KY202510310973**

**(Status: Under Review)**

### APPLICANT INFORMATION

Applicant Company: \* City of Dry Ridge  
Applicant Courtesy: \* Mr.  
Applicant First Name: \* Ken  
Applicant Last Name: \* Little  
Applicant Phone: \* (859) 824-3335  
Applicant eMail: \*

[ken.little@cdrky.org](mailto:ken.little@cdrky.org)

Applicant Address: \* 31 Broadway St  
Applicant City: \* Dry Ridge  
Applicant State: \* KY  
Applicant Zip: \* 41035

Applicant Type: \* City

Submitter (You, the person filling out this form)

Submitter First Name: \* JD  
Submitter Last Name: \* Sims  
Submitter Phone: \* (502) 695-4357  
Submitter eMail: \*

## PROJECT INFORMATION

Project Type: \* [Water](#)  
Project Number (WRIS): [WX21081501](#)  
Project Title: \* [Solenoid Valve Stations Project](#)  
Construction: \* [Construction](#)  
  
Applicant County: \* [Grant](#)  
Multiple Counties: ☐  
List Counties:  
  
Congressional Districts: \* 1: ☐ 2: ☐ 3: ☐  
4: ☒ 5: ☐ 6: ☐

## CFDA INFORMATION

CFDA Number: \* [21.027](#) CFDA Title: \* [Coronavirus State and Local Fiscal Recovery Funds](#)

Federal Agency: \* [Other \(Specify\) : Cleaner Water Program](#)  
Start Date: \* [04/01/2026](#)  
Is the Applicant delinquent on any Federal debt? \* [No](#)  
If yes, explain:

## SITE PHYSICAL ADDRESS

Street Address:  
City:  
Zip Code:  
Latitude:  
Longitude:

Project Description: \* [A brief summary of the project, including what the funds will be spent on, and a brief scope of work.](#)

Examples of fund use include: construction, salaries, equipment purchase, print materials, etc.

Please type out each acronym fully the first time it appears. Example: Housing and Urban Development (hereafter HUD)

This project consists of constructing three (3) solenoid valve stations and telemetry to improve control of the existing water storage tanks. All remaining funds will be used to purchase automatic radio read meters.

Need For Project: (Be brief)

The 2 solenoid stations will control the existing tanks. These stations will let the existing tanks drain and fill properly and maintain chlorine levels throughout the system.

#### ADDITIONAL INFORMATION

## WASTEWATER INFORMATION

Water Supplier Name:

Describe wastewater disposal:

Package Sewage Treatment Plant

Facility:

Address/GPS:

Existing publicly owned wastewater treatment plant

Plant Name:

On-site septic tank and lateral field

Health Dept. Name:

Health Dept. Permit No.:

Health Dept. Phone:

Health Dept. Address:

## SOLID WASTE INFORMATION

Landfill Name:

Landfill Permit No.:

Transport Mode:

Transport Company:

**\*\*Only fill out this section if project is construction of housing, running of water or wastewater lines, or purchase of real estate\*\***

## ESTIMATED FUNDING

Federal: \$0.00

Applicant: \$0.00

State: \$414,437.00

Local: \$0.00

Program Income: \$0.00

Other (Specify): \$0.00

Total: \$414,437.00

## INFORMATION REQUESTS

**REQUEST:** How many Solenoid Valve Stations are being constructed? The Project Description and the Need for Project do not match.  
Ryan Reed,  
Division of Water

**RESPONSE:** It is 3 solenoid stations. Need for project should have said 3 not 2.  
John Sims  
Kenvirons, Inc.

## COMMENTS

**Department of  
Housing Buildings  
and Construction** **Endorse with Comments**  
The Department has no comments concerning this proposed project.  
Don Newberry  
11/03/2025

**Division for Air Quality** **Endorse with Comments**  
Leslie Poff  
11/06/2025  
401 KAR 63:010, Fugitive Emissions, states that no person shall cause, suffer, or allow any material to be handled, processed, transported, or stored without taking reasonable precaution to prevent particulate matter from becoming airborne. Additional requirements include the covering of open bodied trucks, operating outside the work area transporting materials likely to become airborne, and that no one shall allow earth or other material being transported by truck or earth-moving equipment to be deposited onto a paved street or roadway. Please note the Fugitive Emissions Fact Sheet located at <https://eec.ky.gov/Environmental-Protection/Air/Documents/Fugitive%20Dust%20Fact%20Sheet.pdf>

401 KAR 63:005 states that open burning shall be prohibited except as specifically provided. Open Burning is defined as the burning of any matter in such a manner that the products of combustion resulting from the burning are emitted directly into the outdoor atmosphere without passing through a stack or chimney. However, open burning may be utilized for the expressed purposes listed on the Open Burning Brochure located at <https://eec.ky.gov/Environmental-Protection/Air/Pages/Open-Burning.aspx>

The Division would like to offer the following suggestions on how this project can help us stay in compliance with the National Ambient Air Quality Standards (NAAQS). These air quality control strategies are beneficial to the health of citizens of Kentucky: utilize alternatively fueled equipment; utilize other emission controls that are applicable to your equipment; reduce idling time on equipment.

The Division also suggests an investigation into compliance with applicable local government regulations.

**Division of Water**

Andrea Fredenburg  
11/03/2025

**Endorse with Comments**

Best management practices should be utilized to reduce sediment and pollutant runoff from project activities into nearby waters.

**Division of Water**

Jackie Logsdon  
11/07/2025

**Endorse with Comments**

The Drinking Water Branch endorses this project.

**Division of Water**

Ryan Reed  
11/04/2025

**Endorse with Comments**

The System was lacking Managerial and Financial Capacity in the Sanitary Survey from June 2025. The Non-Significant Deficiency response is due by November 26, 2025. The following issues should be addressed:

- Developing an asset management plan (Please see the link to the Southwest Environmental Finance Center's Asset Management Switchboard: <https://swefcamswitchboard.unm.edu/am/> )
- Adding a 5-20 year timeframe to the Capital Improvement Plan
- Preparing an annual capital budget
- Continuing to track water loss

The System should review the Division of Water's additional recommendations from the most recent Sanitary Survey (June 2025).

**DLG**

Jennifer Peters  
11/01/2025

**Endorse with Comments**

Information only

**DOW**

Daniel Fraley  
11/03/2025

**Endorse with Comments**

The FOB endorses the project without further comment.

**DOW**

Brett Thompson  
11/03/2025

**Endorse with Comments**

If utilizing State Revolving Loan Funds (SRF), SRF requirements and guidelines shall be followed throughout the project.



**KDOW**

Dale Booth  
11/06/2025

**Endorse with Comments**

The proposed project will not impact any delineated source water protection zones.

**Kentucky Department of Fish & Wildlife**

Emily Lawson  
11/07/2025

**Endorse with Comments**

The Kentucky Department of Fish and Wildlife Resources (KDFWR) has reviewed the proposed project. Based upon the information provided, KDFWR has no comments or concerns regarding federal or state-listed threatened / endangered species, designated critical habitat, wildlife management areas, or other properties managed by KDFWR.

Thank you for coordinating with KDFWR. Please contact Emily Lawson at 502-892-4472 or [emilym.lawson@ky.gov](mailto:emilym.lawson@ky.gov) if you have further questions or require additional information.

**Kentucky Division of Water**

Shawn Hokanson  
11/05/2025

**Endorse with Comments**

Based on the location information provided, this project appears to be outside the regulated floodplain and will not require stream construction permitting. This project may require a water quality certification from the Division of Water if work is being completed below the ordinary high water mark in Waters of the US. See the following page for information on permitting procedures: <https://eec.ky.gov/Environmental-Protection/Water/PermitCert/WQ401Cert/Pages/Apply-for-Certification.aspx>

**Kentucky Division of Water**

Bronson McQueen  
11/03/2025

**Endorse with Comments**

The proposed work is endorsed by the Groundwater Section of the Watershed Management Branch. However, the proposed work is located in an area with a high potential for karst development where groundwater is susceptible to direct contamination from surface activities. It is our recommendation that proposed work be made aware of the requirements of 401 KAR 5:037 and the need to develop a Groundwater Protection Plan (GPP) for the protection of groundwater resources within that area.

**Kentucky Heritage Council**

Nicole Konkol  
10/31/2025

**Endorse with Comments**

Please note the Kentucky Heritage Council / State Historic Preservation Office (KHC) review is not part of the e-Clearinghouse process. Submission and consultation for Section 106 or cultural resource review must be conducted directly with the KHC. Your federal grant application will be considered incomplete until you have a letter directly from KHC. Within the e-Clearinghouse page there is a direct link to KHC's

document submission portal,  
<https://heritage.ky.gov/compliance/Pages/overview.aspx> . Each review submitted to the KHC will be provided within 30 days of the date all requested information has been submitted. If you have questions or concerns, please contact Nicole Konkol by email [nicole.konkol@ky.gov](mailto:nicole.konkol@ky.gov). If your project is funded through Transportation Alternative (TAP), Transportation Enhancements (TE), Congestion, Mitigation, Air Quality (CMAQ), or Safe Routes to School (SRTS) you will need to send this information to Michael Jones, Historic Preservation Program Administrator with the Kentucky Transportation Cabinet via email to [MichaelR.Jones2@ky.gov](mailto:MichaelR.Jones2@ky.gov). Michael Jones will consult directly with the SHPO.

**Kentucky  
Infrastructure  
Authority**  
Don Schierer  
11/12/2025

**Endorse with Comments**

This project was reviewed in the WRIS Portal by KIA staff.

**Kentucky  
Transportation  
Cabinet**  
Gerald Bezold  
11/03/2025

**Endorse with Comments**

Any work performed on Transportation Cabinet right of way will require a permit issued through the District 6 Office

**KY Division of  
Enforcement and  
Compliance  
Assistance**  
Linda Metts  
11/04/2025

**Endorse with Comments**

The Division of Enforcement endorses this project with the following comments;

In recent years, Dry Ridge Water Works has only received one Notice of Violation (NOV). The NOV was issued for having one or more bacteriological samples testing positive for E. Coli bacteria during the monitoring period of August of 2023. To date, violation has been resolved, and facility appears to be in compliance with the Safe Drinking Water Act.

There have been no referrals to the Division of Enforcement in past five years.

**Ky Division of Water**  
Stefanie Osterman  
11/10/2025

**Endorse with Comments**

SAI# KY202510310973 – Grant County; PROJECT TITLE Solenoid Valve Stations Project

The proposed project is subject to Division of Water (DOW) jurisdiction because the following are or appear to be involved: water lines and appurtenances; water withdrawal; water treatment plant construction; floodplain construction; groundwater protection; source water protection; water storage tank construction; wells and wellhead protection. Prior approval must be obtained from the DOW before construction can begin. The applicant must cite the State Application Identifier (SAI# KY202510310973) when submitting plans and specifications.

PROJECT DESCRIPTION: This project consists of constructing three (3) solenoid valve stations and telemetry to improve control of the existing water storage tanks. All remaining funds will be used to purchase automatic radio read meters.

#### Division of Water

##### Water Quality Branch

Comment: Best management practices should be utilized to reduce runoff from project activities into nearby waters.

Questions should be directed to Andrea Fredenburg, (502) 782-6950, Andrea.Fredenburg@ky.gov.

##### Field Operations Branch

Comment: The Field Operations Branch endorses the project without further comment.

Questions should be directed to Daniel Fraley, (606) 782-8794, Daniel.Fraley@ky.gov.

##### Watershed Management Branch

###### Water Supply Section:

Comment: The proposed project will not impact any delineated source water protection zones.

Questions should be directed to

Dale Booth at (502) 782-6895, Dale.Booth@ky.gov.

###### Groundwater Section:

Comment: The proposed work is endorsed by the Groundwater Section of the Watershed Management Branch. However, the proposed work is located in an area with a high potential for karst development where

groundwater is susceptible to direct contamination from surface activities. It is our recommendation that proposed work be made aware of the requirements of 401 KAR 5:037 and the need to develop a Groundwater Protection Plan (GPP) for the protection of groundwater resources within that area.

Questions should be directed to Bronson McQueen at (502) 782-6036, [Bronson.McQueen@ky.gov](mailto:Bronson.McQueen@ky.gov).

#### Water Resources Branch

##### Floodplain Management Section:

Comment: Based on the location information provided, this project appears to be outside the regulated floodplain and will not require stream construction permitting. This project may require a water quality certification from the Division of Water if work is being completed below the ordinary high water mark in Waters of the US. See the following page for information on permitting procedures: <https://eec.ky.gov/Environmental-Protection/Water/PermitCert/WQ401Cert/Pages/Apply-for-Certification.aspx>

Questions should be directed to Shawn Hokanson at (502) 782-6977, [Shawn.Hokanson@ky.gov](mailto:Shawn.Hokanson@ky.gov).

##### Water Quality Certification Section:

Comment: If the activity requires a federal permit due to activities in or near Waters of the U.S., a Clean Water Act Section 401 Water Quality Certification from the DOW may be required for this project.

Questions should be directed to the Water Quality Certification Section, (502) 564-3410, [401WQC@ky.gov](mailto:401WQC@ky.gov).

#### Surface Water Permits Branch

##### Permit Support Section:

Comment: If the construction area disturbed is equal to or greater than 1 acre, the applicant will need to apply for a Kentucky Pollutant Discharge Elimination System (KPDES) stormwater discharge permit.

Questions should be directed to the Permit Support Section, (502) 564-3410, [SWPBsupport@ky.gov](mailto:SWPBsupport@ky.gov).

#### Drinking Water Branch

Comment: The Drinking Water Branch endorses this project.

Questions should be directed to

Jackie Logsdon, (502) 764-1209, Jackie.Logsdon@ky.gov

Water Infrastructure Branch

Engineering Section:

Comment: If utilizing State Revolving Loan Funds (SRF), SRF requirements and guidelines shall be followed throughout the project.

Questions should be directed to Brett Thompson, Engineering Section, at (502) 782-4143, Brett.Thompson@ky.gov

Municipal Planning Section:

Comment: The System was lacking Managerial and Financial Capacity in the Sanitary Survey from June 2025. The Non-Significant Deficiency response is due by November 26, 2025. The following issues should be addressed:

- Developing an asset management plan (Please see the link to the Southwest Environmental Finance Center's Asset Management Switchboard: <https://swefcamswitchboard.unm.edu/am/> )

- Adding a 5-20 year timeframe to the Capital Improvement Plan

- Preparing an annual capital budget

- Continuing to track water loss

The System should review the Division of Water's additional recommendations from the most recent Sanitary Survey (June 2025).

Questions should be directed to

Ryan Reed, (502) 782-7045, Ryan.Reed@ky.gov.

The Kentucky Division of Water supports the goals of EPA's Sustainable Infrastructure Initiative. This Initiative seeks to promote sustainable practices that will help to reduce the potential gap between funding needs and spending at the local and national level. The Sustainable Infrastructure Initiative will guide our efforts in changing how Kentucky views, values, manages, and invests in its water infrastructure. This website, [www.epa.gov/waterinfrastructure/](http://www.epa.gov/waterinfrastructure/), contains information that will help you ensure your facility and operations are consistent with and can benefit from the aims of the Sustainable Infrastructure Initiative.

KYTC

Felitia Deer

11/03/2025

**Endorse with Comments**

Please see comments.

UPLOAD DOCUMENT

PRINT PAGE

WITHDRAW PROJECT

## Kentucky Intergovernmental Review Process (KIRP)/ eClearinghouse

**Project Title:** West Latonia Water Main and Full Lead Service Line Replacement

**SAI No:** KY202511120985

**RE:** Board Review and Action Requested

**Background:** The Intergovernmental Review Process started in 1982 with Presidential Executive Order 12372, which requires federal agencies to provide for consultation with state and local governments that supply non-federal funds for - or that would be directly affected by - proposed federal financial assistance or direct federal development. All Federal program applications in Kentucky are subject to Executive Order 12372 - Intergovernmental Review of Federal Programs (unless the application specifically states not subject to EO 12372), [Pres\\_ExOrder12372.PDF](#) and KRS45.031. [statute.aspx \(ky.gov\)](#)

The eClearinghouse or also known as the state's Single Point of Contact (SPOC) coordinates the State-level review of Federal Grant projects that will take place in Kentucky and assists in identifying potential conflicts or duplication in services for proposed projects impacting the state and region. The eClearinghouse provides an efficient way for Federal Grant applicants to comply with funding agency requirements. It identifies the state agencies that should be involved in the development of federal projects and provides them with the opportunity to review and comment on applications as well as read other agencies' comments. The comments are then compiled into one clearinghouse letter for applicants to submit to the funding agency.

**Contractual Obligation:** The KIRP or Kentucky State Clearinghouse has been designated to provide state and local input to the appropriate federal agency. The Northern Kentucky Area Development District (NKADD) is the designated Regional Review Agency (RRA) for the Kentucky Intergovernmental Review Process (KIRP) through the annual Kentucky Joint Funding Administration (JFA) contract.

**Responsibilities:** As the RRA, the NKADD's responsibilities include:

- coordination of federally assisted projects and programs with local and area plans;
- soliciting input from area-wide and local agencies that are authorized to develop and enforce environmental standards;
- providing agencies charged with enforcing or furthering civil rights laws with the opportunity to participate in the review process and
- to encourage an expeditious process of intergovernmental coordination and review of proposed projects.

**NKADD Internal Review Process:** Following the JFA MOU Scope of Work, the new Community Development Planning team has recently revised the NKADD internal review process to ensure compliance and provide a streamlined comment period. The revised review process will include:

- Providing chief elected officials with the opportunity to review, request additional information or ask questions about the project and its impacts on their communities.

- If applicable, providing special purpose units of local governments with the opportunity to review, request additional information or ask questions about the project and its impacts on their communities.
- Evaluate the responses to determine the significance of the proposed federally assisted programs to area-wide and local plans and programs to develop comments
- If applicable, inform interstate metropolitan planning districts that share common territory with the NKADD of the project. If the interstate body desires to provide input on these proposals, it must utilize the officially designed state Single Point of Contact to forward comments to the Kentucky State Single Point of Contact.
- Present to the NKADD Board of Directors for review and action on the project
- Select the correct endorsement response (Endorse with Comment or Non-Endorse) and submit comments to eClearinghouse. Types of comments include no concerns, information about permit requirements, best management practices, etc.

# SUMMARY SHEET

## INTERGOVERNMENTAL REVIEW PROCESS

11/26/2025

KY202511120985

**DATE RECEIVED**

**PROJECT NUMBER**

West Latonia Water Main and Full Lead Service Line Replacement

**PROJECT NAME**

**LOCAL**

☐

Northern Kentucky Water District

**AREAWIDE**

☐

**APPLICANT'S NAME**

**REGIONAL**

☐

State Funds

66.443

**STATEWIDE**

☐

**AGENCY TO RECEIVE REQUEST**

**FED. CAT. #**

**INTERAGENCY**

☐

**SUMMARY:**

This project is located within the City of Covington and involves water main replacement of older unlined cast iron water mains with lead joints that have a high rate of failure. This project will replace 5,800 feet of water main. Using the EJScreen Tool for identifying disadvantaged communities, these streets serve areas that have a density of 80th percentile or higher for low income or have a density of 80th percentile or higher for over age 64 as compared to the national MHI. It is expected the project will have lead service lines on both the utility side and the customer side for a majority of the households. The project will also eliminate a blowoff with continuously running water that is used to mitigate discolored water complaints from the unlined cast iron water mains. Eliminating this blowoff would result in an estimated annual savings of \$500 in non-revenue water (based on variable production cost not retail) plus another \$5,700 in sanitation charges. \$5,700 in sanitation charges. The project will impact a total of approximately 238 households. It is expected 200 service lines will be made of lead. The total project cost is \$4,700,000 including engineering design and contingencies plus construction of improvements. A breakdown of the estimated project costs are as follows: • Water main replacement, engineering & contingency - \$2,700,000 • Lead service line replacement - \$2,000,000 The costs to replace lead service lines as part of a water main replacement project have been reported to be 40% lower than stand-alone replacement. The estimated cost for full lead service line replacement (utility and customer side) is \$10,000 per service when conducted with water main replacement. Trenchless installation methods will be used for service line replacement. Open cutting a trench for a service line replacement will only be allowed if trenchless methods are unsuccessful. The District is requesting \$2,000,000 from either the Lead Service Line Replacement Program or the Base Supplemental Program

**PROPOSED FUNDING**

**FEDERAL**

\$

**APPLICANT**

\$

**STATE**

\$3,000,000

**LOCAL**

\$1,700,000

**PROGRAM INCOME**

**OTHER (Specify)**

**PRINCIPLE FORGIVENESS**



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TOTAL	\$4,700,000
-------	-------------

STAFF ASSIGNED:

---

STAFF COMMENTS:

☐ POSITIVE

☐ NEGATIVE

☐ OTHER



# KENTUCKY ECLEARINGHOUSE

## Project View

**KY202511120985**

**(Status: Under Review)**

### APPLICANT INFORMATION

Applicant Company: *	Northern Kentucky Water District
Applicant Courtesy: *	Mrs.
Applicant First Name: *	Stacey
Applicant Last Name: *	Kampsen
Applicant Phone: *	(859) 426-2715
Applicant eMail: *	<a href="mailto:skampsen@nkywater.org">skampsen@nkywater.org</a>
Applicant Address: *	2835 Crescent Springs Road
Applicant City: *	Erlanger
Applicant State: *	KY
Applicant Zip: *	41018
Applicant Type: *	Other (Specify) : Public Utility
Submitter (You, the person filling out this form)	
Submitter First Name: *	Steve
Submitter Last Name: *	Broering
Submitter Phone: *	(859) 426-2728
Submitter eMail: *	

## PROJECT INFORMATION

Project Type: \* [Water](#)

Project Number (WRIS): [WX21117017](#)

Project Title: \* [West Latonia Water Main and Full Lead Service Line Replacement](#)

Construction: \* [Construction](#)

Applicant County: \* [Kenton](#)

Multiple Counties: ☐

List Counties:

Congressional Districts: \* 1: ☐ 2: ☐ 3: ☐  
4: ☒ 5: ☐ 6: ☐

## CFDA INFORMATION

CFDA Number: \* [66.443](#) CFDA Title: \* [Reducing Lead in Drinking Water](#)

Federal Agency: \* [State Funds](#)

Start Date: \* [09/01/2026](#)

Is the Applicant delinquent on any Federal debt? \* [No](#)

If yes, explain:

## SITE PHYSICAL ADDRESS

Street Address: [2835 Crescent Springs Road](#)

City: [Erlanger](#)

Zip Code: [41018](#)

Latitude:

Longitude:

Project Description: \* A brief summary of the project, including what the funds will be spent on, and a brief scope of work.

Examples of fund use include: construction, salaries, equipment purchase, print materials, etc.

Please type out each acronym fully the first time it appears. Example: Housing and Urban Development (hereafter HUD)

This project is located within the City of Covington and involves water main replacement of older unlined cast iron water mains with lead joints that have a high rate of failure. This project will replace 5,800 feet of water main. Using the EJScreen Tool for identifying disadvantaged communities, these streets serve areas that have a density of 80th percentile or higher for low income or have a density of 80th percentile or higher for over age 64 as compared to the national MHI. It is expected the project will have lead service lines on both the utility side and the customer side for a majority of the households. The project will also eliminate a blowoff with continuously running water that is used to mitigate discolored water complaints from the unlined cast iron water mains. Eliminating this blowoff would result in an estimated annual savings of \$500 in non-revenue water (based on variable production cost not retail) plus another \$5,700 in sanitation charges. \$5,700 in sanitation charges. The project will impact a total of approximately 238 households. It is expected 200 service lines will be made of lead. The total project cost is \$4,700,000 including engineering design and contingencies plus construction of improvements. A breakdown of the estimated project costs are as follows: ■ Water main replacement, engineering & contingency - \$2,700,000 ■ Lead service line replacement - \$2,000,000 The costs to replace lead service lines as part of a water main replacement project have been reported to be 40% lower than stand-alone replacement. The estimated cost for full lead service line replacement (utility and customer side) is \$10,000 per service when conducted with water main replacement. Trenchless installation methods will be used for service line replacement. Open cutting a trench for a service line replacement will only be allowed if trenchless methods are

unsuccessful. The District is requesting \$2,000,000 from either the Lead Service Line Replacement Program or the Base Supplemental Program for

Need For Project: (Be brief)

Reduce water main breaks, water loss, and service disruptions, limit the amount of possible contaminants introduced into the system, eliminate lead service lines.

#### ADDITIONAL INFORMATION

##### WASTEWATER INFORMATION

Water Supplier Name:

Describe wastewater disposal:

Package Sewage Treatment Plant

Facility:

Address/GPS:

Existing publicly owned wastewater treatment plant

Plant Name:

On-site septic tank and lateral field

Health Dept. Name:

Health Dept. Permit No.:

Health Dept. Phone:

Health Dept. Address:

##### SOLID WASTE INFORMATION

Landfill Name:

Landfill Permit No.:

Transport Mode:

Transport Company:

**\*\*Only fill out this section if project is construction of housing, running of water or wastewater lines, or purchase of real estate\*\***

#### ESTIMATED FUNDING

Federal:

\$0.00

Applicant:	\$0.00
State:	\$3,000,000.00
Local:	\$1,700,000.00
Program Income:	\$0.00
Other (Specify):	\$0.00
Total:	\$4,700,000.00

## COMMENTS

### Department of Housing Buildings and Construction

Don Newberry  
11/12/2025

#### Endorse with Comments

The Department has no comments concerning this proposed project.

### Division for Air Quality

Leslie Poff  
11/13/2025

#### Endorse with Comments

401 KAR 63:010, Fugitive Emissions, states that no person shall cause, suffer, or allow any material to be handled, processed, transported, or stored without taking reasonable precaution to prevent particulate matter from becoming airborne. Additional requirements include the covering of open bodied trucks, operating outside the work area transporting materials likely to become airborne, and that no one shall allow earth or other material being transported by truck or earth-moving equipment to be deposited onto a paved street or roadway. Please note the Fugitive Emissions Fact Sheet located at <https://eec.ky.gov/Environmental-Protection/Air/Documents/Fugitive%20Dust%20Fact%20Sheet.pdf>

401 KAR 63:005 states that open burning shall be prohibited except as specifically provided. Open Burning is defined as the burning of any matter in such a manner that the products of combustion resulting from the burning are emitted directly into the outdoor atmosphere without passing through a stack or chimney. However, open burning may be utilized for the expressed purposes listed on the Open Burning Brochure located at <https://eec.ky.gov/Environmental-Protection/Air/Pages/Open-Burning.aspx>

The Division would like to offer the following suggestions on how this project can help us stay in compliance with the National Ambient Air Quality Standards (NAAQS). These air quality control strategies are beneficial to the health of citizens of Kentucky: utilize alternatively fueled equipment; utilize other emission controls that are applicable to your equipment; reduce idling time on equipment.

The Division also suggests an investigation into compliance with applicable local government regulations.

**Division of Water**

Andrea Fredenburg  
11/12/2025

**Endorse with Comments**

Best management practices should be utilized to reduce sediment and pollutant runoff from project activities into nearby waters.

**Division of Water**

Jackie Logsdon  
11/12/2025

**Endorse with Comments**

The Drinking Water Branch supports this request as it seeks to address water loss and compliance with the LCRI.

**Division of Water**

Ryan Reed  
11/12/2025

**Endorse with Comments**

The Municipal Planning Section of the Division of Water has the following comment regarding this project:

The System should review the Division of Water's recommendation from the most recent Sanitary Survey (October 2023).

**DOW**

Daniel Fraley  
11/18/2025

**Endorse with Comments**

The FOB endorses the project without further comment.

**DOW**

Brett Thompson  
11/12/2025

**Endorse with Comments**

Prior to the start of construction, plans and specifications that have been signed, stamped and dated by a Licensed Professional Engineer shall be submitted to the Division of Water for review and approval.

**KDOW**

Dale Booth  
11/13/2025

**Endorse with Comments**

The proposed project will impact the source water protection Zone 2 for Louisville Water Company (KY0560258). These zones are based on potential time of travel of a contaminant to the drinking water intake and are defined as follows:

Source Water Protection Planning Zones:

Zone 1 (Critical Zone/Less than 1 hour Time of Travel)

Zone 2 (Zone of Responsibility/1 hour to 5 hour Time of Travel)

Zone 3 (Zone of Potential Impact/2.5 to 12.5 hour Time of Travel)

Source Water Protection should include best management practices or BMP's that prevent, reduce, or eliminate storm water runoff, soil erosion, and movement of nutrients, bacteria, and contaminants into unprotected waterways that may pose threats to public drinking water supplies. It should also include contingency planning strategies if protective measures fail or accidents and/or disasters occur and emergency response planning for water supply contamination or service interruption. Examples can be referenced here: <https://www.epa.gov/sourcewaterprotection/source-water-protection-practices> or <https://eec.ky.gov/Environmental-Protection/Water/Protection/Pages/SWP.aspx>

**Kentucky Department of Fish & Wildlife** **Endorse with Comments**

Emily Lawson

11/13/2025

Due to the location and nature of the project, Kentucky Department of Fish & Wildlife Resources (KDFWR) does not have specific concerns regarding federal or state-listed threatened / endangered species, designated critical habitat, wildlife management areas, or other properties managed by KDFWR. The following recommendations are provided as general guidance for waterline/wastewater projects submitted to KDFWR for review. In general, impacts to fish and wildlife resources might be avoided and minimized by following the guidance provided below:

- KDFWR recommends that erosion control measures be developed and implemented prior to construction to reduce siltation into waterways located within/near the project area. Such erosion control measures may include, but are not limited to silt fences, staked straw bales, brush barriers, sediment basins, and diversion ditches. Erosion control measures will need to be inspected regularly and repaired as needed. If blanket-style matting is used for erosion control, please avoid using the nylon monofilament netting as it can entangle and kill wildlife. An alternative blanket style control is organic coir matting, which degrades naturally and provides excellent soil protection and moisture retention for seed germination—as well as controlling erosion runoff without unnecessarily impacting wildlife.
- Development/excavation in streams should be avoided when possible. KDFWR recommends directionally drilling stream crossings to avoid instream impacts. If stream impacts cannot be avoided, limit activities



to periods of low flow to minimize disturbance. When crossing a stream, the pipe should be laid perpendicular to the stream bank to minimize the direct impacts to the streambed (rather than parallel). Perpendicular alignments help reduce short-term and long-term effects to streams. KDFWR recommends that all instream disturbances be returned to a stable condition upon completion of stream pipeline crossing.

- Avoid using wet concrete near waterways. Please refer to the KY Division of Water for recommended best management practices regarding concrete use near streams. Uncured (wet) concrete released into streams and waterways causes significant fish kills. If concrete is required, prevent its release into streams by using pre-formed concrete structures or separating work areas from stream water by coffer dam or other method. Construction water that contains concrete slurry should not be released into streams. It can be pumped into a containment in an upland area away from stream water to safely dispose of it or it can be aspirated with carbon dioxide to make it non-toxic.

- USFWS Coordination for compliance with the Endangered Species Act: Please coordinate with the US Fish and Wildlife Service for compliance with the Endangered Species Act and for specific recommendations to minimize adverse effects to listed species. The USFWS may have seasonal requirements for removing trees, especially those greater than 3" in diameter-at-breast height. Limiting the removal of these trees to the winter months will minimize impacts to many species of bats, several of which are listed as threatened or endangered on the federal Endangered Species Act.

Thank you for coordinating with KDFWR. Please contact Emily Lawson at 502-892-4472 or [emilym.lawson@ky.gov](mailto:emilym.lawson@ky.gov) if you have further questions or require additional information.

Kentucky Division of  
Water

Shawn Hokanson

11/13/2025

### Endorse with Comments

Based on the location information provided, this project appears to be outside the regulated floodplain and will not require stream construction permitting. This project may require a water quality certification from the Division of Water if work is being completed below the ordinary high water mark in Waters of the US. See the following page for information on permitting procedures: <https://eec.ky.gov/Environmental->

**Kentucky Division of Water**

Bronson McQueen  
11/13/2025

**Endorse with Comments**

The proposed work is endorsed by the Groundwater Section of the Watershed Management Branch. However, the proposed work is located in an area with a high potential for karst development where groundwater is susceptible to direct contamination from surface activities. It is our recommendation that proposed work be made aware of the requirements of 401 KAR 5:037 and the need to develop a Groundwater Protection Plan (GPP) for the protection of groundwater resources within that area.

**Kentucky Heritage Council**

Nicole Konkol  
11/12/2025

**Endorse with Comments**

Please note the Kentucky Heritage Council / State Historic Preservation Office (KHC) review is not part of the e-Clearinghouse process. Submission and consultation for Section 106 or cultural resource review must be conducted directly with the KHC. Your federal grant application will be considered incomplete until you have a letter directly from KHC. Within the e-Clearinghouse page there is a direct link to KHC's document submission portal, <https://heritage.ky.gov/compliance/Pages/overview.aspx>. Each review submitted to the KHC will be provided within 30 days of the date all requested information has been submitted. If you have questions or concerns, please contact Nicole Konkol by email [nicole.konkol@ky.gov](mailto:nicole.konkol@ky.gov). If your project is funded through Transportation Alternative (TAP), Transportation Enhancements (TE), Congestion, Mitigation, Air Quality (CMAQ), or Safe Routes to School (SRTS) you will need to send this information to Michael Jones, Historic Preservation Program Administrator with the Kentucky Transportation Cabinet via email to [MichaelR.Jones2@ky.gov](mailto:MichaelR.Jones2@ky.gov). Michael Jones will consult directly with the SHPO.

**Kentucky Transportation Cabinet**

Gerald Bezold  
11/12/2025

**Endorse with Comments**

Any work performed on Transportation Cabinet Right of Way will require a permit issued through the District 6 Office

**KY Division of Enforcement and Compliance Assistance**

**Endorse with Comments**

The Division of Enforcement endorses this project with the following comments;

Linda Metts  
11/14/2025

Northern KY Water district consists of three treatment plants (Taylor Mill, Ft Thomas and Memorial parkway) and serves a population of approximately 300,000. All three treatment plants within the Northern KY Water District appear to be well managed and have not had any violations or referrals to the Division of Enforcement in past five years.

Ky Division of Water  
Stefanie Osterman  
11/18/2025

### Endorse with Comments

SAI# KY202511120985– Kenton County; PROJECT TITLE West Latonia Water Main and Full Lead Service Line Replacement

The proposed project is subject to Division of Water (DOW) jurisdiction because the following are or appear to be involved: water lines and appurtenances; water withdrawal; water treatment plant construction; floodplain construction; groundwater protection; source water protection; water storage tank construction; wells and wellhead protection. Prior approval must be obtained from the DOW before construction can begin. The applicant must cite the State Application Identifier (SAI#KY202511120985) when submitting plans and specifications.

PROJECT DESCRIPTION: This project is located within the City of Covington and involves water main replacement of older unlined cast iron water mains with lead joints that have a high rate of failure. This project will replace 5,800 feet of water main. Using the EJScreen Tool for identifying disadvantaged communities, these streets serve areas that have a density of 80th percentile or higher for low income or have a density of 80th percentile or higher for over age 64 as compared to the national MHI. It is expected the project will have lead service lines on both the utility side and the customer side for a majority of the households. The project will also eliminate a blowoff with continuously running water that is used to mitigate discolored water complaints from the unlined cast iron water mains. Eliminating this blowoff would result in an estimated annual savings of \$500 in non-revenue water (based on variable production cost not retail) plus another \$5,700 in sanitation charges. \$5,700 in sanitation charges. The project will impact a total of approximately 238 households. It is expected 200 service lines will be made of lead. The total project cost is \$4,700,000 including engineering design and contingencies plus construction of improvements. A breakdown of the estimated project costs are as follows: ■ Water main replacement, engineering & contingency - \$2,700,000 ■ Lead service

line replacement - \$2,000,000 The costs to replace lead service lines as part of a water main replacement project have been reported to be 40% lower than stand-alone replacement. The estimated cost for full lead service line replacement (utility and customer side) is \$10,000 per service when conducted with water main replacement. Trenchless installation methods will be used for service line replacement. Open cutting a trench for a service line replacement will only be allowed if trenchless methods are unsuccessful. The District is requesting \$2,000,000 from either the Lead Service Line Replacement Program or the Base Supplemental Program

#### Division of Water

##### Water Quality Branch

Comment: Best management practices should be utilized to reduce sediment and pollutant runoff from project activities into nearby waters. Questions should be directed to Andrea Fredenburg, (502) 782-6950, [Andrea.Fredenburg@ky.gov](mailto:Andrea.Fredenburg@ky.gov).

##### Field Operations Branch

Comment: The Field Operations Branch endorses the project without further comment.

Questions should be directed to Daniel Fraley, (606) 782-8794, [Daniel.Fraley@ky.gov](mailto:Daniel.Fraley@ky.gov).

##### Watershed Management Branch

###### Water Supply Section:

Comment: The proposed project will impact the source water protection Zone 2 for Louisville Water Company (KY0560258). These zones are based on potential time of travel of a contaminant to the drinking water intake and are defined as follows:

###### Source Water Protection Planning Zones:

Zone 1 (Critical Zone/Less than 1 hour Time of Travel)

Zone 2 (Zone of Responsibility/1 hour to 5 hour Time of Travel)

Zone 3 (Zone of Potential Impact/2.5 to 12.5 hour Time of Travel)

Source Water Protection should include best management practices or BMP's that prevent, reduce, or eliminate storm water runoff, soil erosion, and movement of nutrients, bacteria, and contaminants into

unprotected waterways that may pose threats to public drinking water supplies. It should also include contingency planning strategies if protective measures fail or accidents and/or disasters occur and emergency response planning for water supply contamination or service interruption. Examples can be referenced here:

<https://www.epa.gov/sourcewaterprotection/source-water-protection-practices> or <https://eec.ky.gov/Environmental-Protection/Water/Protection/Pages/SWP.aspx>

Questions should be directed to

Dale Booth at (502) 782-6895, [Dale.Booth@ky.gov](mailto:Dale.Booth@ky.gov).

#### Groundwater Section:

Comment: The proposed work is endorsed by the Groundwater Section of the Watershed Management Branch. However, the proposed work is located in an area with a high potential for karst development where groundwater is susceptible to direct contamination from surface activities. It is our recommendation that proposed work be made aware of the requirements of 401 KAR 5:037 and the need to develop a Groundwater Protection Plan (GPP) for the protection of groundwater resources within that area.

Questions should be directed to Bronson McQueen at (502) 782-6036, [Bronson.McQueen@ky.gov](mailto:Bronson.McQueen@ky.gov).

#### Water Resources Branch

##### Floodplain Management Section:

Comment: Based on the location information provided, this project appears to be outside the regulated floodplain and will not require stream construction permitting. This project may require a water quality certification from the Division of Water if work is being completed below the ordinary high water mark in Waters of the US. See the following page for information on permitting procedures:

<https://eec.ky.gov/Environmental-Protection/Water/PermitCert/WQ401Cert/Pages/Apply-for-Certification.aspx>

Questions should be directed to Shawn Hokanson at (502) 782-6977, [Shawn.Hokanson@ky.gov](mailto:Shawn.Hokanson@ky.gov).

##### Water Quality Certification Section:

Comment: If the activity requires a federal permit due to activities in or near Waters of the U.S., a Clean Water Act Section 401 Water Quality

Certification from the DOW may be required for this project.  
Questions should be directed to the Water Quality Certification Section,  
(502) 564-3410, 401WQC@ky.gov.

#### Surface Water Permits Branch

##### Permit Support Section:

Comment: If the construction area disturbed is equal to or greater than 1 acre, the applicant will need to apply for a Kentucky Pollutant Discharge Elimination System (KPDES) stormwater discharge permit.  
Questions should be directed to the Permit Support Section, (502) 564-3410, SWPBsupport@ky.gov.

#### Drinking Water Branch

Comment: The Drinking Water Branch supports this request as it seeks to address water loss and compliance with the LCRI.

Questions should be directed to  
Jackie Logsdon, (502) 764-1209, Jackie.Logsdon@ky.gov

#### Water Infrastructure Branch

##### Engineering Section:

Comment: Prior to the start of construction, plans and specifications that have been signed, stamped and dated by a Licensed Professional Engineer shall be submitted to the Division of Water for review and approval.

Questions should be directed to Brett Thompson, Engineering Section,  
at (502) 782-4143, Brett.Thompson@ky.gov

##### Municipal Planning Section:

Comment: The Municipal Planning Section of the Division of Water has the following comment regarding this project:

The System should review the Division of Water's recommendation from the most recent Sanitary Survey (October 2023).

Questions should be directed to  
Ryan Reed, (502) 782-7045, Ryan.Reed@ky.gov.

The Kentucky Division of Water supports the goals of EPA's Sustainable Infrastructure Initiative. This Initiative seeks to promote sustainable practices that will help to reduce the potential gap between funding needs and spending at the local and national level. The Sustainable Infrastructure Initiative will guide our efforts in changing how Kentucky

views, values, manages, and invests in its water infrastructure. This website, [www.epa.gov/waterinfrastructure/](http://www.epa.gov/waterinfrastructure/), contains information that will help you ensure your facility and operations are consistent with and can benefit from the aims of the Sustainable Infrastructure Initiative.

KYTC

Felitia Deer

11/12/2025

**Endorse with Comments**

[Please see comments.](#)

UPLOAD DOCUMENT

PRINT PAGE

WITHDRAW PROJECT

## Kentucky Intergovernmental Review Process (KIRP)/ eClearinghouse

**Project Title:** Industrial Customer Expansion - Treatment Plant Expansion & Enhancement (ICE-TEE)

**SAI No:** KY202511201015

**RE:** Board Review and Action Requested

**Background:** The Intergovernmental Review Process started in 1982 with Presidential Executive Order 12372, which requires federal agencies to provide for consultation with state and local governments that supply non-federal funds for - or that would be directly affected by - proposed federal financial assistance or direct federal development. All Federal program applications in Kentucky are subject to Executive Order 12372 - Intergovernmental Review of Federal Programs (unless the application specifically states not subject to EO 12372), [Pres\\_ExOrder12372.PDF](#) and KRS45.031. [statute.aspx \(ky.gov\)](#)

The eClearinghouse or also known as the state's Single Point of Contact (SPOC) coordinates the State-level review of Federal Grant projects that will take place in Kentucky and assists in identifying potential conflicts or duplication in services for proposed projects impacting the state and region. The eClearinghouse provides an efficient way for Federal Grant applicants to comply with funding agency requirements. It identifies the state agencies that should be involved in the development of federal projects and provides them with the opportunity to review and comment on applications as well as read other agencies' comments. The comments are then compiled into one clearinghouse letter for applicants to submit to the funding agency.

**Contractual Obligation:** The KIRP or Kentucky State Clearinghouse has been designated to provide state and local input to the appropriate federal agency. The Northern Kentucky Area Development District (NKADD) is the designated Regional Review Agency (RRA) for the Kentucky Intergovernmental Review Process (KIRP) through the annual Kentucky Joint Funding Administration (JFA) contract.

**Responsibilities:** As the RRA, the NKADD's responsibilities include:

- coordination of federally assisted projects and programs with local and area plans;
- soliciting input from area-wide and local agencies that are authorized to develop and enforce environmental standards;
- providing agencies charged with enforcing or furthering civil rights laws with the opportunity to participate in the review process and
- to encourage an expeditious process of intergovernmental coordination and review of proposed projects.

**NKADD Internal Review Process:** Following the JFA MOU Scope of Work, the new Community Development Planning team has recently revised the NKADD internal review process to ensure compliance and provide a streamlined comment period. The revised review process will include:



- Providing chief elected officials with the opportunity to review, request additional information or ask questions about the project and its impacts on their communities.
- If applicable, providing special purpose units of local governments with the opportunity to review, request additional information or ask questions about the project and its impacts on their communities.
- Evaluate the responses to determine the significance of the proposed federally assisted programs to area-wide and local plans and programs to develop comments
- If applicable, inform interstate metropolitan planning districts that share common territory with the NKADD of the project. If the interstate body desires to provide input on these proposals, it must utilize the officially designed state Single Point of Contact to forward comments to the Kentucky State Single Point of Contact.
- Present to the NKADD Board of Directors for review and action on the project
- Select the correct endorsement response (Endorse with Comment or Non-Endorse) and submit comments to eClearinghouse. Types of comments include no concerns, information about permit requirements, best management practices, etc.

# SUMMARY SHEET

## INTERGOVERNMENTAL REVIEW PROCESS

11/26/2025

KY202511201015

**DATE RECEIVED**

**PROJECT NUMBER**

Industrial Customer Expansion - Treatment Plant Expansion & Enhancement (ICE-TEE)

**PROJECT NAME**

**LOCAL** ☐

Carrollton Utilities

**AREAWIDE** ☐

**APPLICANT'S NAME**

**REGIONAL** ☐

State Funds

**STATEWIDE** ☐

**AGENCY TO RECEIVE REQUEST**

**FED. CAT. # INTERAGENCY** ☐

**SUMMARY:** The Carrollton Utilities (CU) Regional Wastewater Treatment Plant's current average daily flow is around 1 MGD. To protect the public and environment and to provide service to current and future customers, CU is evaluating the WWTP to determine what improvements would need to be made to increase the capacity of the facility. The first option considered was to optimize the current treatment capacity by studying the treatment process and the characteristics of the waste stream being treated. Therefore, CU will be updating its current Facilities Plan. One of the goals will be to optimize the effectiveness of the process and efficiencies that can be gained by rehabbing, replacing, or renewing of the existing equipment. The project will explore replacing the headworks grinders with a screening system, rehabbing the lagoon liners, replacing the bio-tower media and the distribution arms, renewing the clarifiers and non-potable water system, and adding a UV bank, along with other miscellaneous improvements as identified in the updated Facilities Plan. Increasing the flow capacity by 1 MGD is the lofty goal of the project, but the ancillary benefit is a newly updated and more efficient nearly 20-year-old plant. Also included is increasing the flow capacity along the U.S. 42 Corridor in Carroll County. The existing industries along this corridor have been increasing the flow they are discharging into our system, and additional new users have been added since the existing 6" forcemain was constructed and it has now reached capacity. CU will add nearly 15,000 LF of 10" forcemain. The majority of the new forcemain will be in the road right-of-way; however, there will be approximately 5,000 LF in a new easement. The SCADA communication will be upgraded at the pump stations along the route. The Facility Plan/SPEAR-FONSI is located on the Division of Water's public notice webpage <https://dep.gateway.ky.gov/eSearch/>

**PROPOSED FUNDING** **FEDERAL** \$1

**APPLICANT** \$

**STATE** \$

**LOCAL**

**PROGRAM INCOME**

**OTHER (Specify)**

**PRINCIPLE FORGIVENESS**

---

<b>TOTAL</b>	<b>\$1</b>
--------------	------------

**STAFF ASSIGNED:**

**STAFF COMMENTS:**

☐ **POSITIVE**

☐ **NEGATIVE**

☐ **OTHER**



# KENTUCKY ECLEARINGHOUSE

## Project View

**KY202511201015**

**(Status: Under Review)**

### APPLICANT INFORMATION

Applicant Company: *	Carrollton Utilities
Applicant Courtesy: *	Mayor
Applicant First Name: *	Robb
Applicant Last Name: *	Adams
Applicant Phone: *	(502) 732-7060
Applicant eMail: *	<a href="mailto:radams@carrolltonky.net">radams@carrolltonky.net</a>
Applicant Address: *	900 Clay Street PO Box 269
Applicant City: *	Carrollton
Applicant State: *	KY
Applicant Zip: *	41008
Applicant Type: *	City
Submitter (You, the person filling out this form)	
Submitter First Name: *	Angela
Submitter Last Name: *	Billings
Submitter Phone: *	(502) 782-3295
Submitter eMail: *	

## PROJECT INFORMATION

Project Type: \* [SPEAR - State Planning and Environmental Assessment Report](#)

Project Number (WRIS):

Project Title: \* [Industrial Customer Expansion - Treatment Plant Expansion & Enhancement \(ICE-TEE\)](#)

Construction: \* [Non-Construction](#)

Applicant County: \* [Carroll](#)

Multiple Counties: ☐

List Counties:

Congressional Districts: \* 1: ☐ 2: ☐ 3: ☐  
4: ☒ 5: ☐ 6: ☐

## CFDA INFORMATION

CFDA Number: \* [00.000](#) CFDA Title: \* [State Funds](#)

Federal Agency: \* [State Funds](#)

Start Date: \* [11/20/2025](#)

Is the Applicant delinquent on any Federal debt? \* [No](#)

If yes, explain:

## SITE PHYSICAL ADDRESS

Street Address: [1937 Greens Bottom Road](#)

City: [Carrollton](#)

Zip Code: [41008](#)

Latitude:

Longitude:

Project Description: \* A brief summary of the project, including what the funds will be spent on, and a brief scope of work.

Examples of fund use include: construction, salaries, equipment purchase, print materials, etc.

Please type out each acronym fully the first time it appears. Example: Housing and Urban Development (hereafter HUD)

The Carrollton Utilities (CU) Regional Wastewater Treatment Plant's current average daily flow is around 1 MGD. To protect the public and environment and to provide service to current and future customers, CU is evaluating the WWTP to determine what improvements would need to be made to increase the capacity of the facility. The first option considered was to optimize the current treatment capacity by studying the treatment process and the characteristics of the waste stream being treated. Therefore, CU will be updating its current Facilities Plan. One of the goals will be to optimize the effectiveness of the process and efficiencies that can be gained by rehabbing, replacing, or renewing of the existing equipment. The project will explore replacing the headworks grinders with a screening system, rehabbing the lagoon liners, replacing the bio-tower media and the distribution arms, renewing the clarifiers and non-potable water system, and adding a UV bank, along with other miscellaneous improvements as identified in the updated Facilities Plan. Increasing the flow capacity by 1 MGD is the lofty goal of the project, but the ancillary benefit is a newly updated and more efficient nearly 20-year-old plant. Also included is increasing the flow capacity along the U.S. 42 Corridor in Carroll County. The existing industries along this corridor have been increasing the flow they are discharging into our system, and additional new users have been added since the existing 6" forcemain was constructed and it has now reached capacity. CU will add nearly 15,000 LF of 10" forcemain. The majority of the new forcemain will be in the road right-of-way; however, there will be approximately 5,000 LF in a new easement. The SCADA communication will be upgraded at the pump stations along the route. The Facility Plan/SPEAR-FONSI is located on the

Division of Water's public notice webpage

<https://dep.gateway.ky.gov/eSearch/>

Need For Project: (Be brief)

Carrollton Utilities is working to be proactive with our treatment and collection of wastewater. The plant expansion will ensure that the plant maintains compliance with the Clean Water Act and the states treatment requirements. Improvements to the collection system will allow the other existing pump stations to operate more efficiently and effectively. These improvements will also prevent the other pump stations from potentially overflowing and causing an SSO.

#### ADDITIONAL INFORMATION

##### WASTEWATER INFORMATION

Water Supplier Name:

Describe wastewater disposal:

[Package Sewage Treatment Plant](#)

Facility:

Address/GPS:

[Existing publicly owned wastewater treatment plant](#)

Plant Name:

[On-site septic tank and lateral field](#)

Health Dept. Name:

Health Dept. Permit No.:

Health Dept. Phone:

Health Dept. Address:

##### SOLID WASTE INFORMATION

Landfill Name:

Landfill Permit No.:

Transport Mode:

Transport Company:

**\*\*Only fill out this section if project is construction of housing, running of water or wastewater lines, or purchase of real estate\*\***

## ESTIMATED FUNDING

Federal:	\$1.00
Applicant:	\$0.00
State:	\$0.00
Local:	\$0.00
Program Income:	\$0.00
Other (Specify):	\$0.00
Total:	\$1.00

## DOCUMENTS

Carrollton Utilities Facility Plan SPEAR FONSI [VIEW](#)

## COMMENTS

Kentucky Heritage  
Council  
Nicole Konkol  
11/20/2025

### Endorse with Comments

Please note the Kentucky Heritage Council / State Historic Preservation Office (KHC) review is not part of the e-Clearinghouse process. Submission and consultation for Section 106 or cultural resource review must be conducted directly with the KHC. Your federal grant application will be considered incomplete until you have a letter directly from KHC. Within the e-Clearinghouse page there is a direct link to KHC's document submission portal, <https://heritage.ky.gov/compliance/Pages/overview.aspx>. Each review submitted to the KHC will be provided within 30 days of the date all requested information has been submitted. If you have questions or concerns, please contact Nicole Konkol by email [nicole.konkol@ky.gov](mailto:nicole.konkol@ky.gov). If your project is funded through Transportation Alternative (TAP), Transportation Enhancements (TE), Congestion, Mitigation, Air Quality (CMAQ), or Safe Routes to School (SRTS) you will need to send this information to Michael Jones, Historic Preservation Program Administrator with the Kentucky Transportation Cabinet via email to [MichaelR.Jones2@ky.gov](mailto:MichaelR.Jones2@ky.gov). Michael Jones will consult directly with the SHPO.



UPLOAD DOCUMENT

PRINT PAGE

WITHDRAW PROJECT

# Who Does What?

## NKADD

WRIS Updates  
SRF Rankings  
County Visits  
Site Visits  
Mapping Updates  
Regional Water Management Plan

## KIA

Finance  
Compliance  
Tracking Project Mapping

## KY DOW

Ensures all State  
Regulations are followed  
Plans and Specs  
Environmentals

## PSC

Oversees Water Districts  
Regulates Rates  
Handles Complaints  
Approves Infrastructure  
Investments

## Water Districts

Regulated by PSC  
Rates Approved by PSC  
Boundaries Regulated by  
PSC

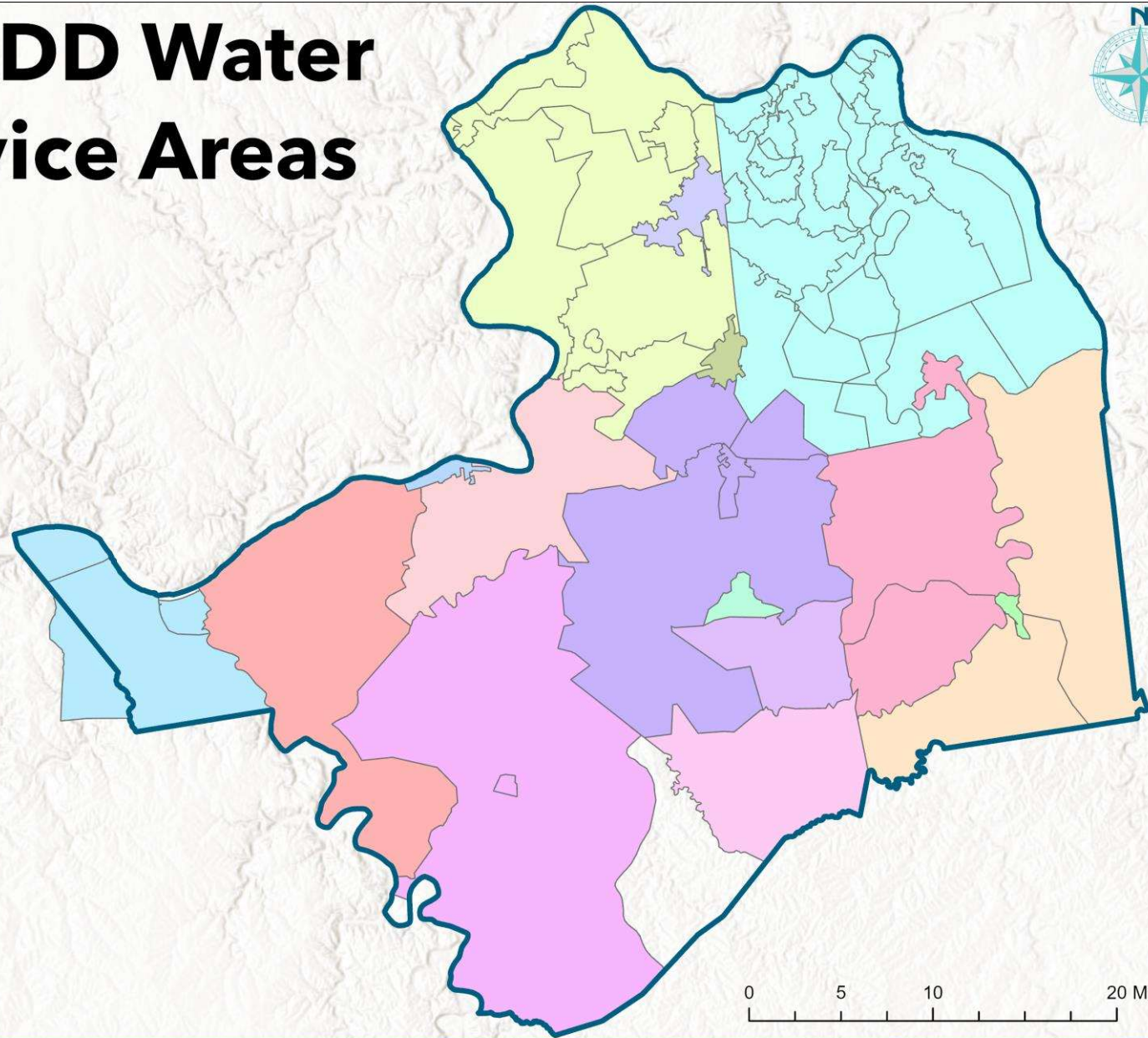
## Municipal Systems

Regulated by City  
Rates Approved by City  
Boundaries Regulated by PSC  
if Expanding Outside the City  
Limits

# NKADD Water Service Areas



-  NKADD Outline
-  BOONE COUNTY WATER DISTRICT
-  BULLOCK PEN WATER DISTRICT
-  CARROLL COUNTY WATER DISTRICT #1
-  CARROLLTON UTILITIES
-  CORINTH WATER DISTRICT
-  DRY RIDGE WATER WORKS COMMISSION
-  EAST PENDLETON WATER DISTRICT
-  FALMOUTH WATER DEPARTMENT
-  FLORENCE WATER & SEWER COMMISSION
-  GALLATIN COUNTY WATER DISTRICT
-  KENTUCKY-AMERICAN WATER COMPANY
-  NORTHERN KENTUCKY WATER DISTRICT
-  PENDLETON COUNTY WATER DISTRICT #1 NORTH
-  PENDLETON COUNTY WATER DISTRICT #1 SOUTH
-  WALTON WATERWORKS DEPARTMENT
-  WARSAW WATER WORKS
-  WILLIAMSTOWN MUNICIPAL WATER DEPARTMENT

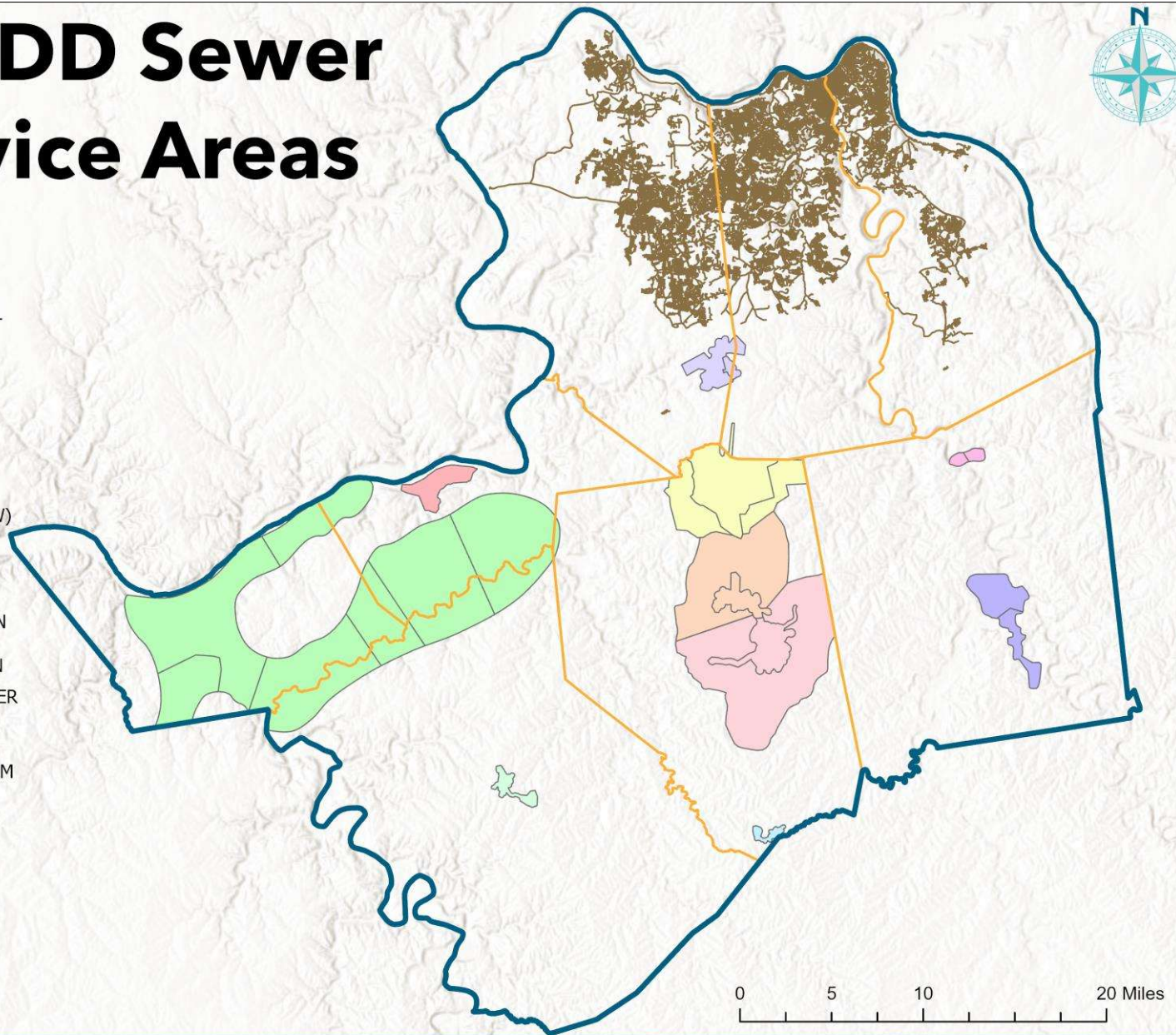


0 5 10 20 Miles



# NKADD Sewer Service Areas

-  NKADD Outline
-  County Boundaries
-  BUTLER WATER & SEWER DEPARTMENT
-  CARROLLTON UTILITIES
-  CORINTH SEWER DEPARTMENT
-  DRY RIDGE SEWER DEPARTMENT
-  FALMOUTH STP (NEW)
-  GRANT COUNTY SANITARY SEWER DISTRICT
-  KENTUCKY-AMERICAN WATER COMPANY - NORTHERN DIVISION
-  WALTON WASTEWATER SYSTEM
-  WARSAW WASTEWATER SYSTEM
-  WILLIAMSTOWN REGIONAL WRF
-  Sanitation District 1 Sewerlines



# SRF



# Timeline

Call for SRF Projects

01

**June WMC Meeting**

Approve Local Ranking Criteria

02

**Late October-  
Early  
November**

County Meetings  
Finalize all SRF Projects for Call  
for Projects (Use SRF Checklist)

03

**December WMC Meeting**

Approve SRF Project Rankings  
for Submittal

04

**Late January-  
Early February**

Make Edits Based on DOW  
Comments (About a Week for  
this Process)

05

**July-  
December**

Invitations to Apply for SRF  
Funding will be Released.  
Full Application due in December  
if invited to apply.

Full Application

NKADD Water Projects by Total Points, Categories 1-5

Planning Unit	County Name	PNUM	Applicant	Project Title	Ranking		
					Regional	County Rank	Total Points
Pendleton	Pendleton	WX21191022	East Pendleton County Water District	Pendleton County Water Treatment Plant	1	1	40
Boone	Boone	WX21015035	City of Walton	South Water Main Connection to NKWD Water Treatment Plant and Raw Water Intake Project	2	1	31
Grant	Grant	WX21081026	City of Williamstown		3	1	30
Gallatin	Gallatin	WX21077013	City of Warsaw	Warsaw Tank Repainting	4	1	28
Campbell/Kenton	Campbell	WX21117022	Northern Kentucky Water District	Covington & Dayton Water Main and Full Lead Service Line Replace	5	1	28
Campbell/Kenton	Kenton	WX21117020	Northern Kentucky Water District	NKWD F26 Lead Service Line Replacement Project	6	1	28
Owen	Owen	WX21187002	Owen County Fiscal Court	Owen County Unserved Areas	7	1	24
Pendleton	Pendleton	WX21191025	City of Falmouth	Water Storage Tanks and Treatment Plant	8	2	27
Boone	Boone	WX21015036	Boone County Fiscal Court	Rural Water Phase 3.4	9	2	21
Grant	Grant	WX21081022	Bullock Pen Water District	Bullock Pen Water Treatment Plant Replacement-Design and Const.	10	2	27
Gallatin	Gallatin	WX21077014	City of Warsaw	Warsaw Water Treatment Plant	11	2	27
Campbell/Kenton	Kenton	WX21117023	Northern Kentucky Water District	Covington Eastside Water Main and Full Lead Service Line Replace	12	2	22
Owen	Owen	WX21187006	Owen County Fiscal Court	KY 1316 Water Main Extension	13	2	18
Pendleton	Pendleton	WX21191027	East Pendleton County Water District	Cast Iron Line Replacement to Kincaid Lake	14	3	24
Grant	Grant	WX21081204	City of Dry Ridge	City of Dry Ridge-2008 Water System Improvements	15	3	23
Gallatin	Gallatin	WX21077012	Gallatin County Water Distict	Gallatin County Regional Airport Water Main Extension	16	3	26
Campbell/Kenton	Kenton	WX21117021	Northern Kentucky Water District	Latonia Phase 2 Water Main and Full Lead Service Line Replacement	17	3	19

NKADD Water Projects by Total Points, Categories 1-5

Pendleton	Pendleton	WX21037007	Pendleton County Water District	Campbell County Waterline Extension	18	4	19
Gallatin	Gallatin	WX21077011	Gallatin County Water District	US-42 Water Main Extension	19	4	18
Pendleton	Pendleton	WX21191026	City of Falmouth	Galvanized Main Replacement	20	5	16

NKADD Wastewater Projects by Total Points, Categories 1-5

Planning Unit	County Name	PNUM	Applicant	Project Title	Ranking		
					Regional	County Rank	Total Points
Campbell/Kenton	Kenton	SX21117022	Sanitation District #1	Licking River Tunnel Solution	1	1	37
Campbell/Kenton	Campbell	SX21037012	Sanitation District #1	Taylor Creek EQ	2	1	34
Pendleton	Pendleton	SX21191017	City of Falmouth	Inflow and Infiltration Project	3	1	32
Boone	Boone	SX21015018	City of Walton	WWTP No. 1 Expansion - Plant D	4	1	30
Grant	Grant	SX21081003	Grant County Sanitary Sewer System	Wastewater Treatment Plant Upgrade	5	1	29
Gallatin	Gallatin	SX21077009	Carrollton Utilities	Gallatin County Industrial Park & Airport Sanitary Sewer	6	1	25
Campbell/Kenton	Kenton	SX21117008	Sanitation District #1	Southwest Kenton County-Phase I	7	2	23
Campbell/Kenton	Campbell	SX21117015	Sanitation District #1	Church St. EQ and Surrounding Piping	8	2	32
Pendleton	Pendleton	SX21191018	City of Butler	Butler, KY - Wastewater Collection and Treatment Improvements	9	2	27
Boone	Boone	SX21015011	City of Walton	Mullen Sewage Lift Station Upgrade & Force Main Extension Project	10	2	29
Grant	Grant	SX21081008	City of Williamstown	Williamstown Lift Station Replacement	11	2	21
Campbell/Kenton	Kenton	SX21117009	Sanitation District #1	Southwest Kenton County-Phase II	12	3	21
Campbell/Kenton	Campbell	SX21037011	Sanitation District #1	Newport Festival Park Solution	13	3	29
Campbell/Kenton	Kenton	SX21117010	Sanitation District #1	Southwest Kenton County-Phase III	14	4	20
Campbell/Kenton	Campbell	SX21037013	Sanitation District #1	Echo Hills Sanitary Sewer Improvements	15	4	26
Campbell/Kenton	Campbell	SX21117020	Sanitation District #1	KY17 Corridor Tunnel (North of Lakeview)	16	5	26



## SRF FY2027 Call for Project Lists

Water Call for SRF Project List (Safe Drinking Water Act)									
County	Project Number (WRIS)	Project Name	Entity	Description	Estimated Cost	Requested Cost	Households	House	Senate
Boone	WX21015035	South Water Main Connection to NKWD	City of Walton	<ul style="list-style-type: none"> <li>- Project connects the City of Walton's water system to the Northern Kentucky Water District (NKWD).</li> <li>- Key activities: <ul style="list-style-type: none"> <li>- Install 850 LF of 12-inch water main</li> <li>- Install a new master meter</li> <li>- Construct a 250 GPM water booster pump station</li> </ul> </li> </ul>	\$1,008,000	\$1,008,000	2,074	6178	20
Pendleton	WX21191025	Water Storage Tanks and Treatment Plant	City of Falmouth	<ul style="list-style-type: none"> <li>- The 2023 storage tank inspection revealed the clear well tank at the water plant requires repairs and painting.</li> <li>- The city plans to upgrade the electrical system at the water treatment plant, as the current grid is over 40 years old.</li> <li>- Repairs or replacement of the lamella settling basin, in service since 1986 and needing attention within 5 years, are also proposed.</li> <li>- Funds will be allocated to replace or repair the raw water check valve to prevent backflow, ensuring pump protection and potable water safety.</li> </ul>	\$1,236,000	\$1,236,000	1,198	78	24
Pendleton	WX21037007	Campbell County Waterline Extension	Pendleton County Water District	<ul style="list-style-type: none"> <li>- Construction of 6.4 miles of 6 inch and 4 inch water line to serve 75 new customers in Campbell County.</li> </ul>	\$1,405,000	\$1,405,000	75	78	24
Pendleton	WX21191027	Cast Iron Line Replacement to Kincaid Lake	East Pendleton Water District	<ul style="list-style-type: none"> <li>- Replace 6" cast iron line from Falmouth to Kincaid Lake with: <ul style="list-style-type: none"> <li>- 8" PVC DR18 C900 and 8" SDR17 PVC as needed</li> </ul> </li> <li>- Upgrade Pump Stations #1 and #2 on Hwy 159, including:</li> </ul>	\$3,299,000	\$3,299,000	158	78	24

Water Call for SRF Project List (Safe Drinking Water Act)									
County	Project Number (WRIS)	Project Name	Entity	Description	Estimated Cost	Requested Cost	Households	House	Senate
				<ul style="list-style-type: none"> <li>- Complete replacement of buildings, pumps, motors, and SCADA system</li> <li>- Add generator with auto transfer switch at each location</li> </ul>					
Pendleton	WX21191026	Galvanized Main Replacement	City of Falmouth	The proposed project would include the replacement of approx. 4,834.55' of water main. These mains serve the city limits of Falmouth. They are made of 2' galvanized pipe and were installed in the 1940's.			1,198	78	24
Kenton	WX21117020	NKWD F26 Lead Service Line Replacement Project	Northern Kentucky Water District	<ul style="list-style-type: none"> <li>- Project Overview: Lead service line replacement in low-income communities.</li> <li>- Lead Service Lines: <ul style="list-style-type: none"> <li>- 1,761 full lead service lines (both utility and private).</li> <li>- 3,627 partial lead service lines (either utility or private).</li> </ul> </li> <li>- Funding Request: Requesting \$54 million from the 2026 DWSRF, with 70% as principal forgiveness.</li> </ul>	\$54,000,000	\$54,000,000	5,388	63 64 65 67	23 24
Kenton	WX21117021	Latonia Phase 2 Water Main and Full Lead Service Line Replacement	Northern Kentucky Water District	<ul style="list-style-type: none"> <li>- Project focuses on water main and lead service line replacement in disadvantaged Covington communities.</li> <li>- Target areas have a median household income below \$60,183 and rank at the 80th percentile or higher on at least one socioeconomic indicator.</li> <li>- Approximately 11,780 feet of unlined cast iron water mains will be replaced, impacting 373 households.</li> <li>- Estimated 300 lead service lines will also be replaced.</li> </ul>	\$8,500,000	\$7,500,000	373	64 65	23
Campbell	WX21117022	Covington & Dayton Water Main and Full	Northern Kentucky	- Water main and lead service line replacement in disadvantaged communities in Covington	\$9,600,000	\$8,185,000	418	65 67	23 24

Water Call for SRF Project List (Safe Drinking Water Act)									
County	Project Number (WRIS)	Project Name	Entity	Description	Estimated Cost	Requested Cost	Households	House	Senate
		Lead Service Line Replace	Water District	<ul style="list-style-type: none"> <li>- Target Communities: Median household income below \$60,183; 80th percentile or higher on at least 1 socioeconomic indicator (per EPA)</li> <li>- Scope of Work: <ul style="list-style-type: none"> <li>- Replace 13,860 feet of unlined cast iron water mains</li> <li>- Affect 437 households</li> <li>- Replace approximately 312 lead service lines</li> </ul> </li> </ul>					
Kenton	WX21117023	Covington Eastside Water Main and Full Lead Service Line Replace	Northern Kentucky Water District	<ul style="list-style-type: none"> <li>- Project aims to replace water mains and lead service lines in disadvantaged communities in Covington.</li> <li>- Target areas have a median household income below \$60,183 and rank at least 80th percentile in one socioeconomic indicator per the EPA.</li> <li>- About 8,455 feet of unlined cast iron water mains will be replaced, affecting 417 households.</li> <li>- Estimated 314 lead service lines will also be replaced.</li> </ul>	\$7,100,000	\$6,140,000	417	64 65	23 24
Gallatin	WX21077012	Gallatin County Regional Airport Water Main Extension	Gallatin County Water District	<ul style="list-style-type: none"> <li>- New regional airport in Gallatin County</li> <li>- Extension of an 8" water main by approximately 6,500 LF</li> <li>- Purpose: Provide water service to the airport and nearby areas</li> <li>- Elimination of dead ends in the distribution system</li> <li>- Improvement of water quality for: <ul style="list-style-type: none"> <li>- 36 households</li> <li>- 2 businesses</li> <li>- 1 emergency connection</li> </ul> </li> <li>- Enhanced reliability during emergencies</li> </ul>	\$882,800	\$882,800	52	61	20
Grant	WX21081022	Bullock Pen Water Treatment Plant	Bullock Pen Water District	<ul style="list-style-type: none"> <li>- Project Overview:</li> </ul>	\$16,297,000	\$15,992,536	15	61	17 20

Water Call for SRF Project List (Safe Drinking Water Act)									
County	Project Number (WRIS)	Project Name	Entity	Description	Estimated Cost	Requested Cost	Households	House	Senate
		Replacement-Design and Const.		<ul style="list-style-type: none"> <li>- Engineering design including architectural, geotechnical, surveying, environmental, and archaeological assessments.</li> <li>- Development of a 2.0 MGD Water Treatment Plant (WTP) to replace the outdated 1.1 MGD plant from 1961.</li> <li>- New Facilities: <ul style="list-style-type: none"> <li>- Replacement of water intake and pumps with energy-efficient Variable Frequency Drives (VFDs).</li> <li>- Proposed WTP location near existing infrastructure.</li> </ul> </li> <li>- Distribution System Improvements: <ul style="list-style-type: none"> <li>- Waterline extensions to unserved areas and replacement of a damaged asbestos cement line.</li> <li>- New water storage tank and mixing systems for existing tanks.</li> </ul> </li> <li>- Additional Components: <ul style="list-style-type: none"> <li>- Installation of Master Meter (AMR), SCADA, security measures, and two generators.</li> <li>- Removal of one WTP pump.</li> </ul> </li> <li>- Contaminant Management: <ul style="list-style-type: none"> <li>- Inclusion of Granular Activated Carbon (GAC) for PFAS removal and addressing emerging contaminants.</li> </ul> </li> </ul>					
Gallatin	WX21077011	US-42 Water Main Extension	Gallatin County Water District	<ul style="list-style-type: none"> <li>- Project involves the extension of approximately 3,150 linear feet (LF) of water main.</li> <li>- Purpose: to provide potable water service to six households.</li> <li>- Current situation: Households are dependent on wells and/or hauling water to meet their needs.</li> </ul>	\$302,275	\$302,275	6	61	20
Gallatin	WX21077013	Warsaw Tank Repainting	City of Warsaw	<ul style="list-style-type: none"> <li>- The project addresses deficiencies found in recent tank inspections.</li> </ul>	\$835,000	\$835,000	901	61	20

Water Call for SRF Project List (Safe Drinking Water Act)									
County	Project Number (WRIS)	Project Name	Entity	Description	Estimated Cost	Requested Cost	Households	House	Senate
				<ul style="list-style-type: none"> <li>- The City of Warsaw has three storage facilities: Firehouse, High School, and WTP.</li> <li>- Each tank will be taken offline one at a time for repairs and coating updates.</li> </ul>					
Owen	WX21187002	Owen County Unserved Areas	Owen County Fiscal Court	<p>The project aims to extend new water mains to unserved areas, including:</p> <ul style="list-style-type: none"> <li>- 35,600 linear feet of 4-inch water mains</li> <li>- 22,900 linear feet of 6-inch water mains</li> <li>- Serving approximately 55 unserved users</li> <li>- Adding hydraulic loops for redundancy in the existing potable water system</li> <li>- Extensions on Cull Road and Red Oak Road</li> </ul>	\$1,655,448	\$1,655,448	44	47	20
Owen	WX21187006	KY1316 Water Main Extension	Owen County Fiscal Court	<ul style="list-style-type: none"> <li>- Extend about 21,700 3" waterline down KY 1316 to pick up unserved households</li> <li>- Replacing about 3,000 linear feet of waterline to 3" waterline from the existing 2" waterline.</li> </ul>	\$1,150,923	\$1,150,923	18	47	20
Pendleton	WX21191022	Pendleton County Regional Water Treatment Plant	East Pendleton County Water District	<ul style="list-style-type: none"> <li>- New 2.0 MGD water treatment plant with intake and pumping upgrades</li> <li>- Plant will treat emerging contaminants like PFAS using GAC</li> </ul>	\$21,602,000	\$1,156,000	4,861	78	24
				<b>Total</b>	<b>\$128,873,446</b>	<b>\$104,747,982</b>			

Wastewater Call for SRF Project List (Clean Water Act)									
County	Project Number (WRIS)	Project Name	Entity	Description	Estimated Cost	Requested Cost	Households	House	Senate
Boone	SX21015011	Aosta Valley Bypass	City of Walton	<ul style="list-style-type: none"> <li>- Upgrade of Mullen Drive Lift Station, rated at 222 GPM and 65 feet of head.</li> <li>- Extend 6-inch sewer force main approximately 6,500 LF to an existing manhole and a new sewage lift station replacing a package treatment plant.</li> <li>- Install variable frequency drives (VFDs) at Walton Towne-Center Lift Station.</li> </ul>	\$1,147,000	\$1,147,000	1,882	78	20
Boone	SX21015018	WWTP No. 1 Expansion - Plant D	City of Walton	<ul style="list-style-type: none"> <li>- Expansion of the existing Wastewater Treatment Plant No. 1</li> <li>- New 0.450 MGD Extended Aeration System</li> <li>- New 313 GPM raw sewage lift station</li> <li>- New waste activated sludge lift station</li> <li>- Increase design capacity to 1.3 MGD</li> </ul>	\$7,768,000	\$7,768,000	2,058	78	20
Pendleton	SX21191017	Inflow and Infiltration Project	City of Falmouth	<ul style="list-style-type: none"> <li>- The City entered into an Agreed Order with KDOW regarding NOVs in their collection system.</li> <li>- SSOs are due to excessive Inflow and Infiltration (I/I).</li> </ul> <p>Project goals to reduce I/I include:</p> <ul style="list-style-type: none"> <li>- Rehab/repair/replacement of approximately 32,731 LF of gravity sewer.</li> <li>- CCTV inspection of 30,054 LF of gravity sewer.</li> <li>- Rehab/replacement of 119 manholes.</li> <li>- Rehab work based on SSES investigations.</li> <li>- Reduced I/I will lower energy consumption and costs at pump stations and the treatment plant.</li> </ul>	\$6,287,320	\$6,287,320	957	78	20
Pendleton	SX21191018	Butler, KY - Wastewater Collection and	City of Butler	<ul style="list-style-type: none"> <li>- Project Overview:</li> <li>- The City of Butler will address wastewater compliance issues due to I&amp;I and aging</li> </ul>	\$1,736,700	\$1,736,700	322	78	20

Wastewater Call for SRF Project List (Clean Water Act)									
County	Project Number (WRIS)	Project Name	Entity	Description	Estimated Cost	Requested Cost	Households	House	Senate
		Treatment Improvements		<p>infrastructure, following violations and an agreed order with DOW.</p> <ul style="list-style-type: none"> <li>- Collection System Improvements: <ul style="list-style-type: none"> <li>- Assess existing collection system to identify repair areas.</li> <li>- Use cameras for assessment; clean and repair sewer lines as needed.</li> <li>- Majority of repairs will use cured in place pipe lining (CIPP).</li> <li>- Additional work includes lateral repairs, removal of stormwater connections, and manhole improvements.</li> </ul> </li> <li>- Wastewater Treatment Plant (WWTP) Improvements: <ul style="list-style-type: none"> <li>- Identify necessary repairs at the existing plant, including: <ul style="list-style-type: none"> <li>- New chemical feed building and equipment.</li> <li>- Cleaning of treatment units.</li> <li>- Structural repairs and painting of tanks and piping.</li> <li>- Electrical improvements and new access drive for chemical delivery.</li> </ul> </li> </ul> </li> </ul>					
Kenton	SX21117022	Licking River Tunnel Solution	Sanitation District #1 of Northern Kentucky	<ul style="list-style-type: none"> <li>- Improve combined sewer overflow (CSO) management</li> <li>- Tunnel Details: <ul style="list-style-type: none"> <li>- Diameter: 12 ft</li> <li>- Length: 11,300 LF</li> <li>- Route: 4th Street, Newport to James Avenue, Covington</li> </ul> </li> <li>- Infrastructure:</li> </ul>	\$215,974,078	\$20,000,000	21,775	64 65 67	23 24

Wastewater Call for SRF Project List (Clean Water Act)									
County	Project Number (WRIS)	Project Name	Entity	Description	Estimated Cost	Requested Cost	Households	House	Senate
				<ul style="list-style-type: none"> <li>- Five drop shafts</li> <li>- Single 10 MGD dewatering pump station at Randolph Park Urban Orchard</li> </ul>					
Grant	SX21081003	Wastewater Treatment Plant Upgrade	Grant County Sanitary Sewer District	<ul style="list-style-type: none"> <li>- Construct a 450,000 GPD sequencing batch reactor (SBR) for biological treatment.</li> <li>- Convert one package treatment basin into pre and post equalization basins.</li> <li>- Convert another basin into a 60,000-gallon aerobic digester.</li> <li>- Install pumping systems for equalization basins.</li> <li>- Upgrade electrical and instrumentation systems with standby power.</li> <li>- Reuse two package treatment basins; demolish two.</li> <li>- Build a solids dewatering system.</li> <li>- Add new chlorine contact and post aeration basins.</li> <li>- Include a new screening system.</li> <li>- Upgrade existing KY 491 Pump Station.</li> <li>- Complete a Facility Plan for the project.</li> </ul>	\$9,111,520	\$8,807,520	1,525	61	17
Gallatin	SX21077009	Gallatin County Industrial Park & Airport Sanitary Sewer	Carrollton Utilities	<ul style="list-style-type: none"> <li>- Project: Sanitary sewer design and construction for an industrial park and regional airport</li> <li>- Location: 3,500 ft south of Exit 55 of I-71</li> <li>- Capacity: 50,000 gallons per day</li> <li>- Components: <ul style="list-style-type: none"> <li>- 3,200 ft gravity sewer</li> <li>- 8 precast manholes</li> <li>- 1 lift station</li> <li>- 600 ft force main sewer</li> </ul> </li> </ul>	\$852,950	\$852,950	3,733	61	20
				<b>Total</b>	<b>\$242,877,568</b>	<b>\$46,599,490</b>			



## KY WWATERS Projects

Project Number	Project Name	System Name
SX21191017	Inflow and Infiltration Project	City of Falmouth
SX21191018	Butler, KY -Wastewater Collection and Treatment Improvements	City of Butler



NORTHERN KENTUCKY AREA DEVELOPMENT DISTRICT

Tara Johnson-Noem, Executive Director  
Judge/Executive Gary Moore, Board Chair

22 Spiral Drive  
Florence, Kentucky 41042  
859.283.1885

## **Executive Director's Report**

### **Joint Funding Administration (JFA)**

### **Kentucky Department of Local Government**

#### **Overview**

The Joint Funding Administration (JFA) was created to unify funding for the Area Development Districts (ADDs) from multiple sources (both state and federal). It originally included federal agencies such as the Economic Development Administration (EDA), the Community Development Block Grant (CDBG), and the Appalachian Regional Commission (ARC), as well as state agencies including the Department for Local Government (DLG), the Cabinet for Health and Family Services, and the Justice Cabinet. As the years passed, various agencies dropped out of JFA. Current participants include DLG, EDA, and CDBG.

The Kentucky General Assembly allocates a set amount of money from the General Fund every biennium to the JFA, to be distributed by DLG. Similarly, from a federal perspective, the participating agencies allocate a set amount of money each year from their general funds to Kentucky, which DLG distributes via the JFA. Historically, DLG applies for this money from all federal agencies in the spring. Upon approval of the federal grants, DLG submits quarterly disbursement requests and distributes the funds to the ADDs each quarter.

DLG works with federal agencies to minimize the reporting requirements for the ADDs, supplying most of the requirements for the ADDs' quarterly financial and process reports. Only the Comprehensive Economic Development Strategies (CEDS) are sent directly from the ADDs to the federal agency (EDA). Working with the ADDs, DLG will develop standardized MOAs each year, with attached Scope of Work (SOW) defining the services to be performed by each ADD and the amount to be paid by DLG.

## Funding

The 2024-2026 Executive Branch biennium budget (24RS HB 6) provides an appropriation to the Department for Local Government that includes an allocation of \$3,984,000 for the JFA program in both Fiscal Years 2024-2025 and 2025-2026.

FY 2024-2025 JFA Funds will be distributed per statute (KRS 147A.100) as follows:

- Seventy percent (70%) is distributed equally among the ADDs.
- Twenty percent (20%) is distributed based on population, using the most recent Census data.
- Ten percent (10%) is distributed based on the number of jurisdictions in each ADD, as reported by the Kentucky Secretary of State's Land Office at the time of the allocation.

<b>Funding Source</b>	<b>Federal</b>	<b>State</b>	<b>TOTAL</b>
U.S. Department of Commerce Economic Development Administration Partnership Planning Grant CFDA 11.302 Work Element 120	\$92,847.00	\$24,045.00	\$116,892.00
U.S. Department of Housing and Urban Development Community Development Block Grant CFDA 14.228 Work Element 125	\$15,829.00	\$15,829.00	\$31,658.00
Commonwealth of Kentucky Department for Local Government State General Fund Allocation Work Element 140/150	\$0.00	\$273,737.19	\$273,737.19
<b>TOTAL</b>	<b>\$108,676.00</b>	<b>\$313,611.19</b>	<b>\$422,287.19</b>

## FY 2025 Quarterly Project Summary

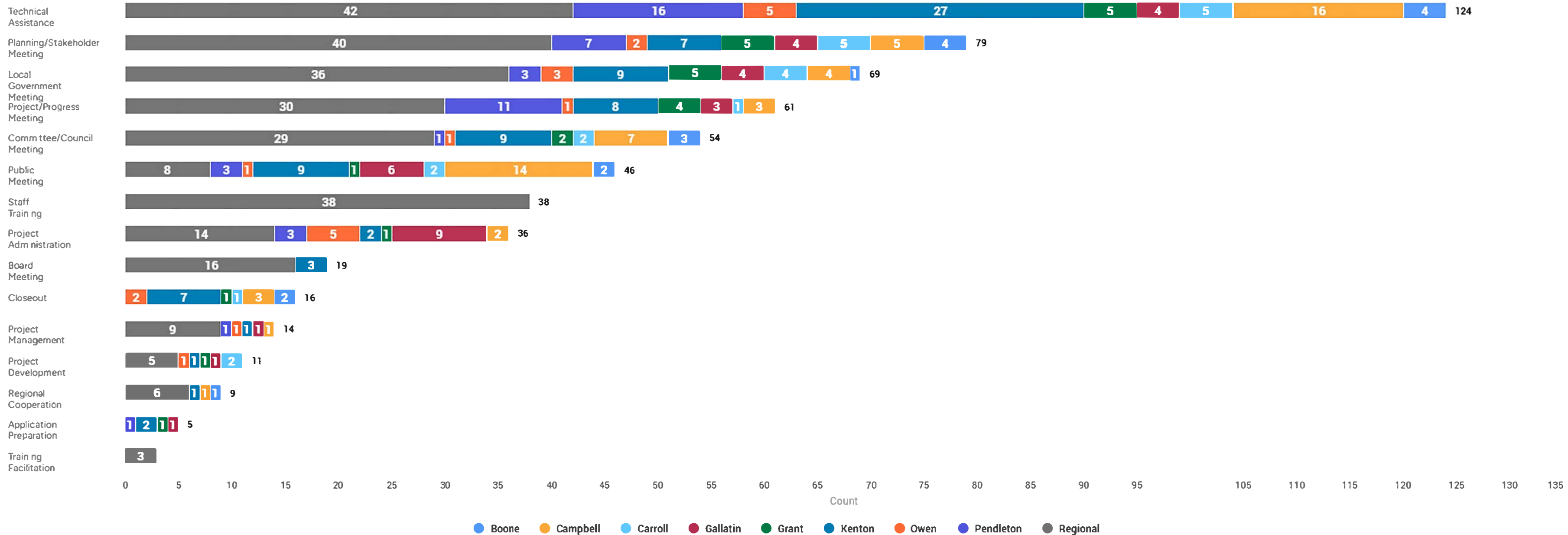
Northern Kentucky Area Development District FY2025 Annual Project Report			
Quarter 1 Total Projects	94	Quarter 2 Total Projects	98
Quarter 1 Total Cost	\$ 10,968,205	Quarter 2 Total Cost	\$ 11,246,105
FY2025 Total Projects	65		
FY2025 Total Cost	\$ 13,277,638		
Total Jobs Created	15		
Total Jobs Retained	0		
Total Private Investment	\$ -		
Northern Kentucky Area Development District Quarter 1 Report			
Activities/Programs	Total Projects	Estimated Cost	
Application Preparation	4	\$3,250,000.00	
125	2	\$1,200,000.00	
140/150	2	\$2,050,000.00	
Closeout	14	\$3,007,609.18	
125	13	\$928,920.18	
140/150	1	\$2,078,089.00	
Project Development	6	\$1,734,596.00	
140/150	5	\$1,342,596.00	
120	1	\$392,000.00	
Project Management	10	\$1,402,500.00	
125	1	\$700,000.00	
140/150	8	\$152,500.00	
Release of Funds	3	\$1,084,000.00	
125	3	\$1,084,000.00	
(blank)			
Technical Assistance	57	\$90,100.00	
125	1	\$600.00	
140/150	54	\$89,500.00	
120	2		
Grand Total	94	\$10,568,205.18	
Northern Kentucky Area Development District Quarter 2 Report			
Programs/Activities	Total Projects	Estimated Cost	
Application Preparation	5	1030000	
125	2	\$400,000.00	
140/150	3	\$650,000.00	
Closeout	13	\$98920.18	
125	13	\$928,920.18	
Project Development	6	2833596	
120	1	\$392,000.00	
125	2	\$1,100,000.00	
140/150	3	\$1,341,596.00	
Project Management	12	\$679589	
125	2	\$900,000.00	
140/150	9	\$2,229,589.00	
Release of Funds	1	684000	
125	1	\$684,000.00	
(blank)			
Technical Assistance	61	2090000	
120	2		
125	1		
140/150	58	\$2,090,000.00	
Grand Total	98	\$11,246,105.18	
Northern Kentucky Area Development District Quarter 3 Report			
Programs	Total Projects	Estimated Cost	
(blank)			
Technical Assistance	66	\$2,733,097.00	
140/150	62	\$2,733,097.00	
125	2		
Application Preparation	10	\$2,410,840.00	
140/150	7	\$2,010,840.00	
125	3	\$400,000.00	
Project Development	7	\$2,833,596.00	
140/150	3	\$1,341,596.00	
125	2	\$1,100,000.00	
Project Management	13	\$4,363,589.00	
140/150	9	\$2,229,589.00	
125	3	\$1,384,000.00	
Closeout	1	\$550,000.00	
125	13	\$928,920.18	
Grand Total	109	\$13,270,042.18	
Northern Kentucky Area Development District Quarter 4 Report			
Programs	Total Projects	Estimated Cost	
Technical Assistance	65	\$2,740,593.00	
140/150	2	\$2,740,593.00	
125	2		
Application Preparation	9	\$2,280,000.00	
140/150	5	\$1,630,000.00	
125	4	\$650,000.00	
Project Development	8	\$4,833,596.00	
140/150	4	\$1,341,596.00	
125	2	\$1,100,000.00	
Project Management	13	\$3,594,429.00	
140/150	11	\$2,360,429.00	
125	1	\$684,000.00	
Closeout	1	\$550,000.00	
125	15	\$1,828,920.18	
Grand Total	114	\$13,277,538.18	

## FY 2025 Funding Summary

Fiscal Year	Total Applications	Total Funding
<b>2021</b>	<b>1</b>	<b>\$ 200,000.00</b>
Awarded	1	\$ 200,000.00
<b>2022</b>	<b>1</b>	<b>\$ 200,000.00</b>
Awarded	1	\$ 200,000.00
<b>2023</b>	<b>24</b>	<b>\$ 15,469,124.18</b>
Awarded	22	\$ 12,469,124.18
Pending	1	\$ 2,000,000.00
Withdrawn	1	\$ 1,000,000.00
<b>2024</b>	<b>18</b>	<b>\$ 10,906,645.77</b>
Awarded	7	\$ 1,229,395.27
Denied	1	\$ 500,000.00
In Progress	7	\$ 9,124,125.50
Pending	1	\$ 45,625.00
Withdrawn	1	\$ -
Not Started	1	\$ 7,500.00
<b>2000</b>	<b>1</b>	<b>\$ 200,000.00</b>
Awarded	1	\$ 200,000.00
<b>2025</b>	<b>11</b>	<b>\$ 3,182,990.00</b>
Awarded	3	\$ 280,840.00
In Progress	4	\$ 1,152,150.00
Pending	4	\$ 1,750,000.00
<b>Grand Total</b>	<b>56</b>	<b>\$ 30,158,759.95</b>

## FY 2025 Activity Summary

Activities	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Grand Total
<b>140/150</b>	<b>135</b>	<b>78</b>	<b>81</b>	<b>82</b>	<b>376</b>
Board Meeting		2	3	6	11
Committee/Council Meeting	10	29	7	6	52
Planning/Stakeholder Meeting	15	18	3	14	50
Project Administration		2	3	9	14
Project/Progress Meeting	18	6	15	14	53
Public Meeting	32		6	2	40
Regional Cooperation	5		2		7
Staff Training	5		5	8	18
Technical Assistance	44	9	4	7	64
Training Facilitation	2		1		3
Local Government Meeting	4	12	32	16	64
<b>120</b>	<b>14</b>	<b>12</b>	<b>10</b>	<b>24</b>	<b>60</b>
Board Meeting		6	2		8
Planning/Stakeholder Meeting	5	3	1		9
Project Administration	3	1	5	6	15
Project/Progress Meeting	1	1	2	4	8
Public Meeting	2	1		2	5
Staff Training	1			12	13
Local Government Meeting	2				2
<b>125</b>			<b>3</b>	<b>6</b>	<b>9</b>
Project Administration			2	5	7
Public Meeting				1	1
Staff Training			1		1
<b>Grand Total</b>	<b>149</b>	<b>90</b>	<b>94</b>	<b>112</b>	<b>445</b>



## JFA FY2025 Projects and Activities by County

